

University of Minnesota, September 12, 2014



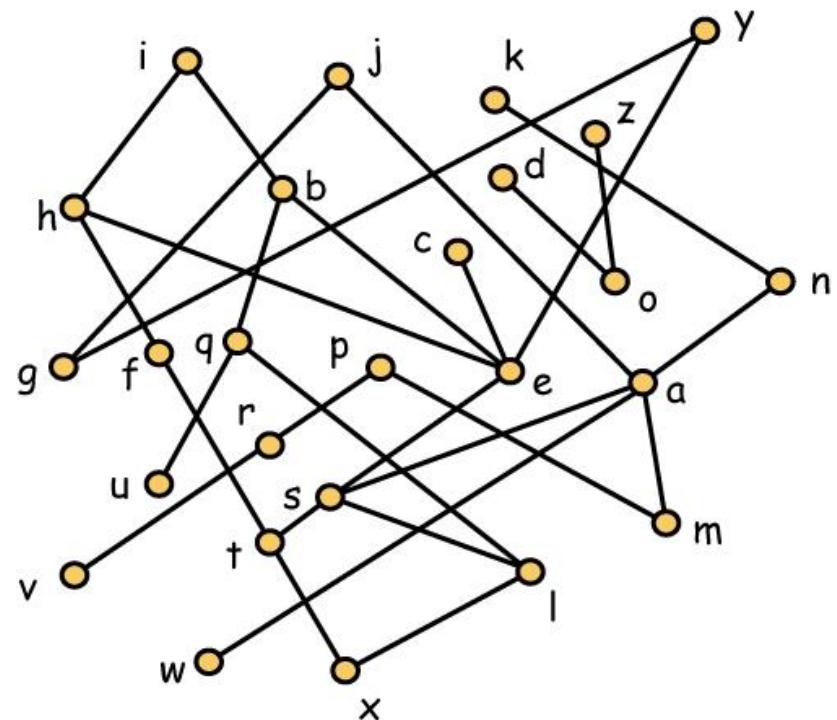
# Duality in the Combinatorics of Posets

William T. Trotter  
[trotter@math.gatech.edu](mailto:trotter@math.gatech.edu)

# Diagram for a Poset on 26 points

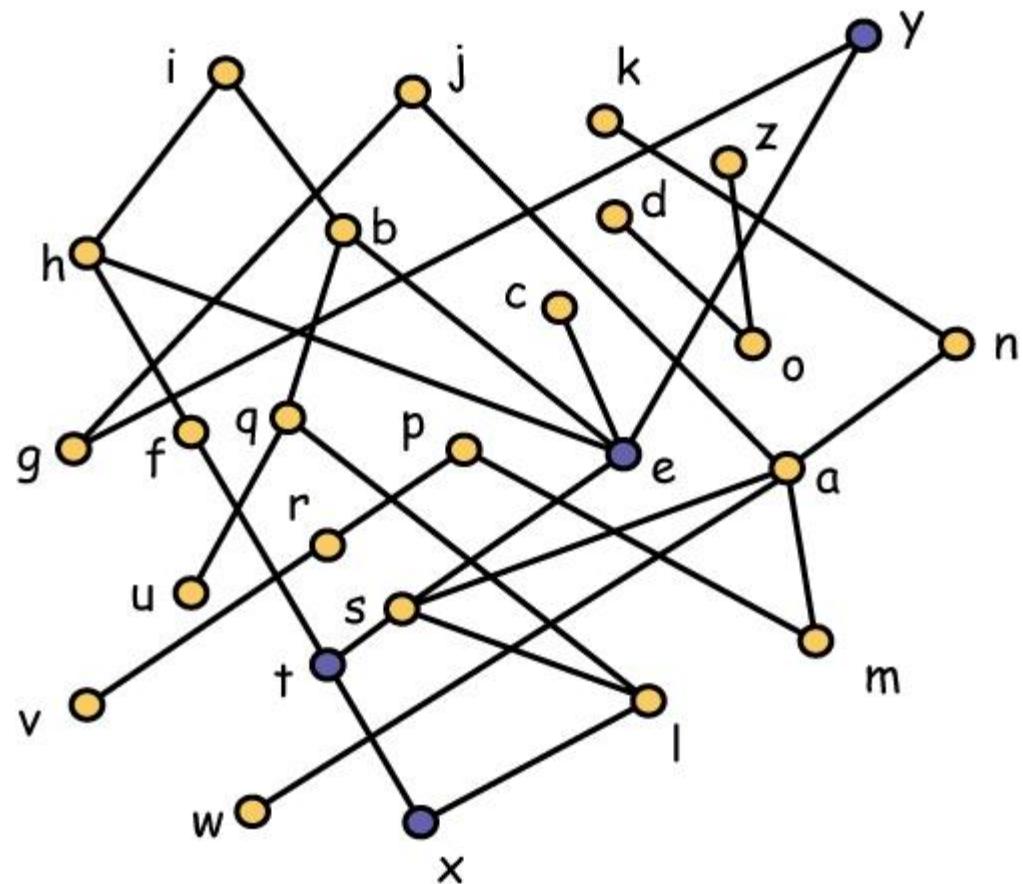
## Terminology:

- $b < i$  and  $s < y$ .
- $j$  covers  $a$ .
- $b > e$  and  $k > w$ .
- $s$  and  $y$  are comparable.
- $j$  and  $p$  are incomparable.
- $c$  is a maximal element.
- $u$  is a minimal element.



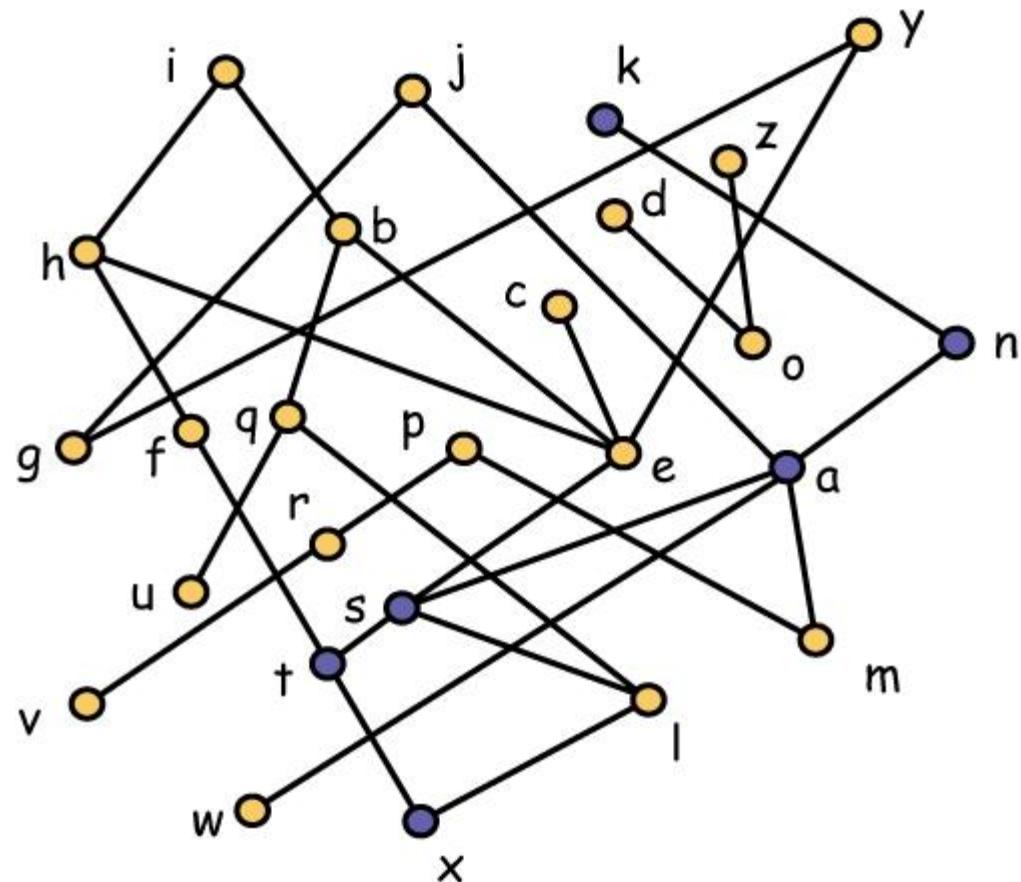
# A Chain of Size 4

**Definition** A **chain** is a subset in which every pair is comparable.



# A Maximal Chain of Size 6

**Definition** A chain is **maximal** when no superset is also a chain. Is the chain in the picture maximum?



# Height of a Poset

**Definition** The **height** of a poset  $P$  is the maximum size of a chain in  $P$ .

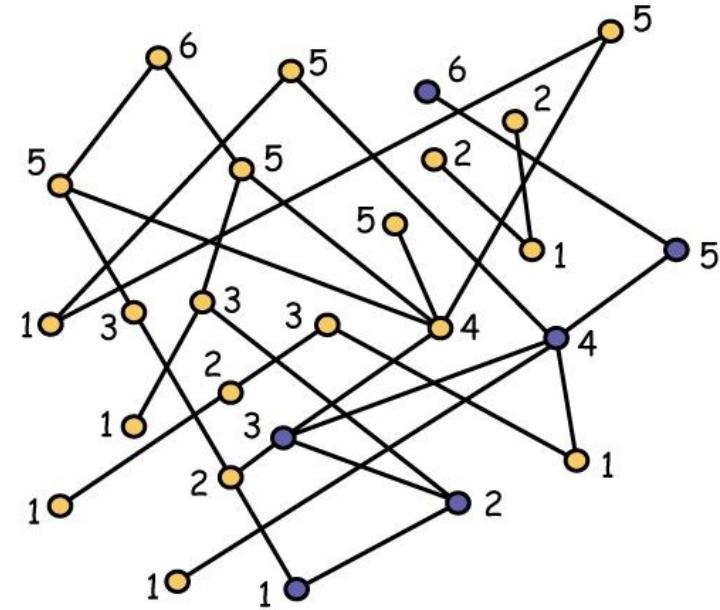
**Proposition** To partition a poset  $P$  of height  $h$  into antichains, at least  $h$  antichains are required.

**Question** How hard is it to find the height of a poset and the minimum size of a partition of the poset into antichains?

# Scholarship and Attribution

**Observation** Getting it right is not as easy as some would believe. In fact, it is not always easy for people to agree on what "right" means.

# Mirsky's Theorem

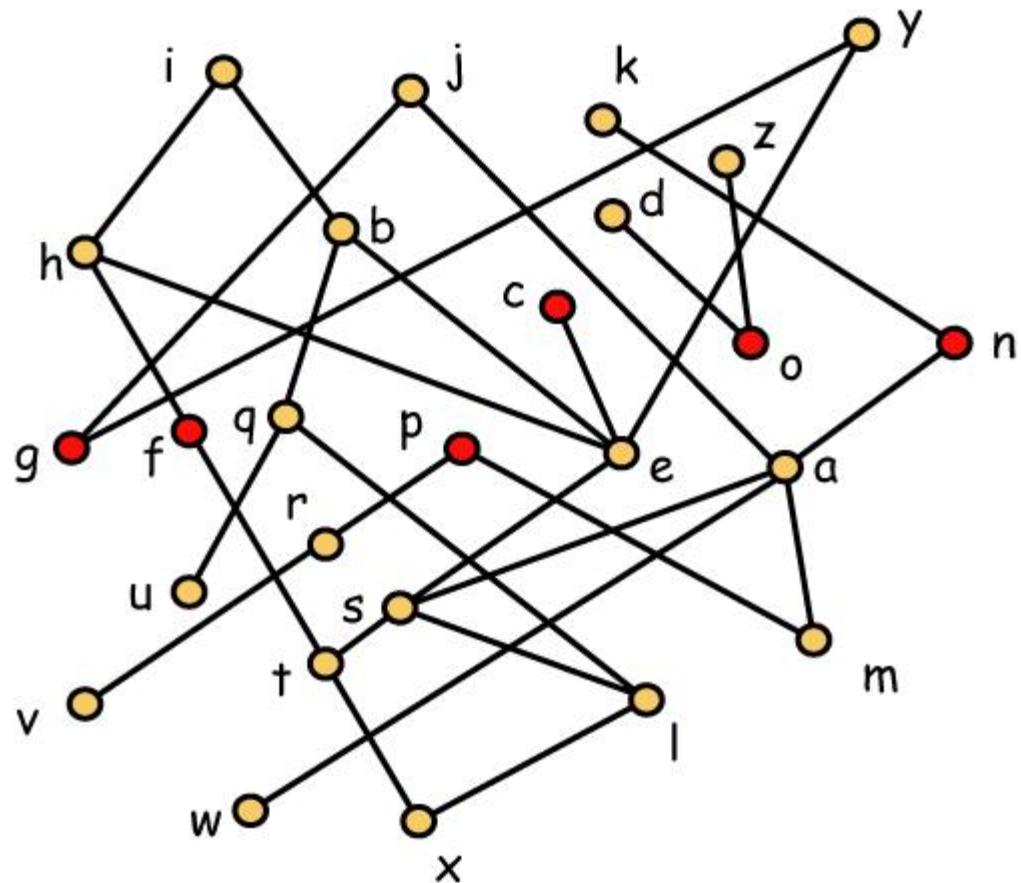


**Theorem (1971)** A poset of height  $h$  can be partitioned into  $h$  antichains.

**Proof**  $A_i$  is the set of elements at height  $i$ .

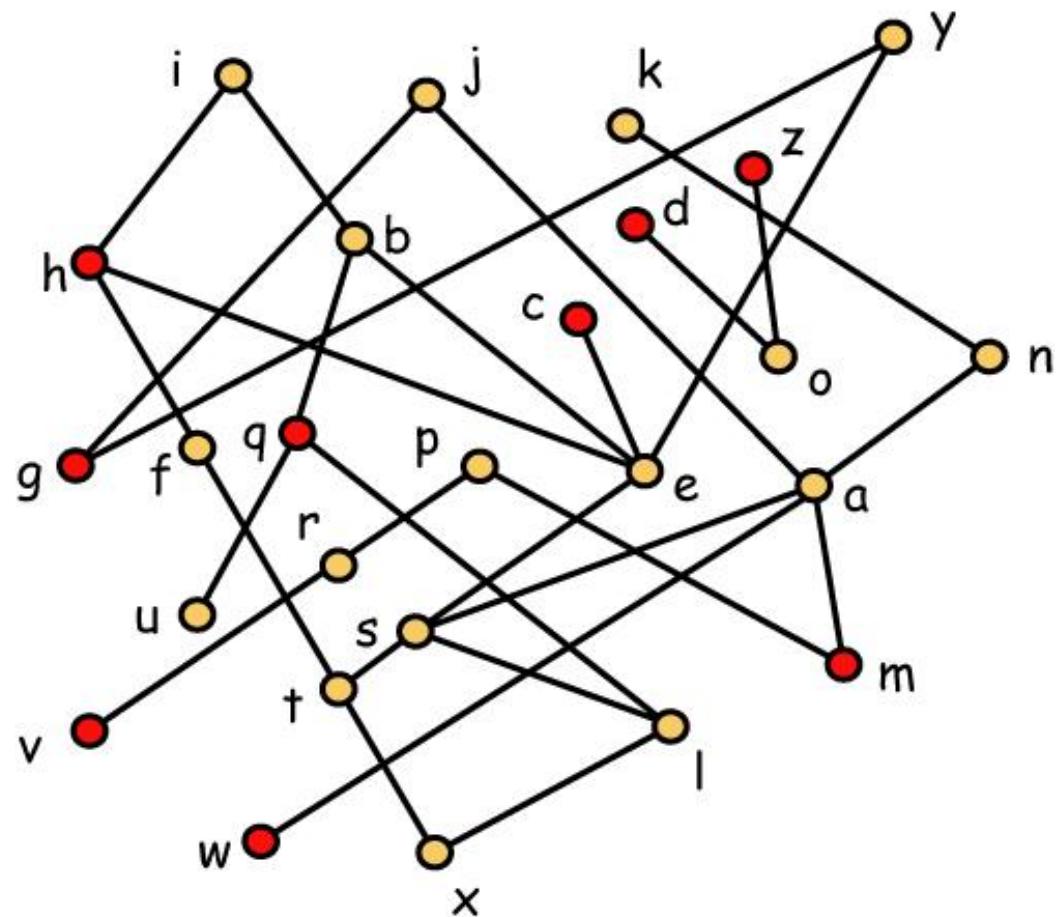
# An Antichain of Size 6

**Definition** A subset is an **antichain** when every pair is incomparable.



# A Maximal Antichain of Size 9

**Definition** An antichain is **maximal** when no superset is also an antichain. Is the antichain in the picture maximum?



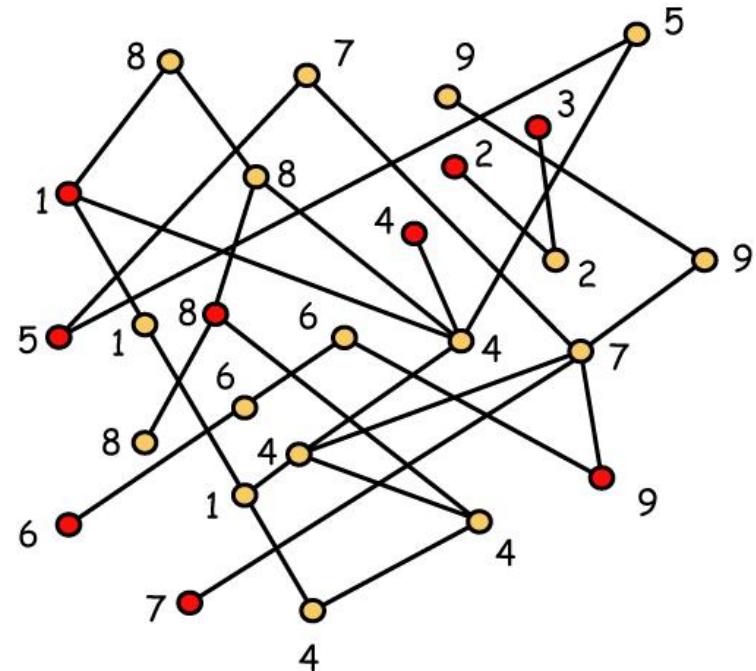
# Width of a Poset

**Definition** The **width** of a poset  $P$  is the maximum size of an antichain in  $P$ .

**Proposition** To partition a poset  $P$  of width  $w$  into chains, at least  $w$  chains are required.

**Question** How hard is it to find the width of a poset and the minimum size of a partition of the poset into chains?

# Dilworth's Theorem



**Theorem** (1950) A poset of width  $w$  can be partitioned into  $w$  chains.

**Note** The original proof is one page long!

# Alternate Proofs of Dilworth's Theorem

---

Fulkerson (1954) Used bipartite matching algorithm (network flows) to find minimum chain partition and maximum antichain simultaneously.

Gallai/Milgram (1960) Path decompositions in oriented graphs.

Perles (1963) Simple induction depending on whether there is a maximum antichain  $A$  with  $U(A)$  and  $D(A)$  non-empty. This is the proof found in most combinatorics textbooks today.

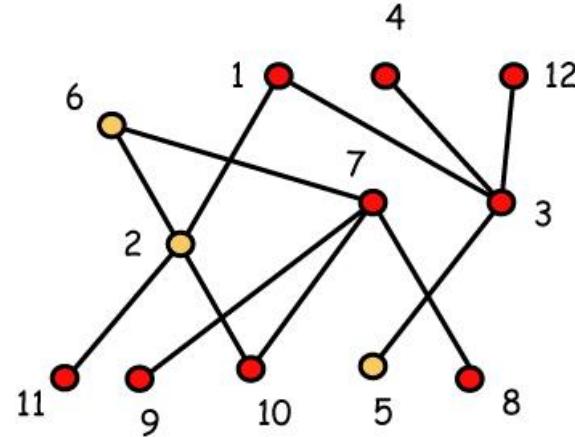
# Posets and Perfect Graphs



**Theorem** (Lovász, 1972) A graph  $G$  is perfect if and only if its complement is perfect.

**Remark** Dilworth's theorem then follows then as an immediate corollary to the trivial theorem on height.

# Sperner k-families

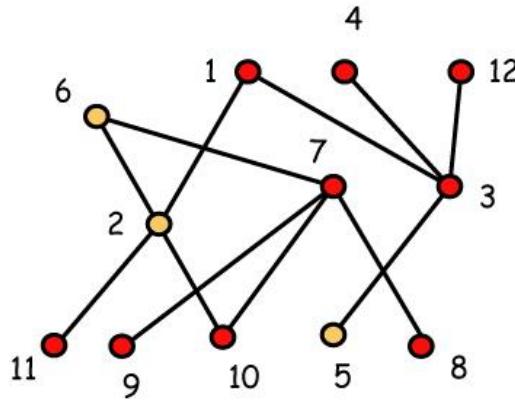


**Definition** When  $k \geq 1$ , a set  $S$  of elements of  $P$  is called a **Sperner  $k$ -family** when  $\text{height}(S) \leq k$ .

In the diagram, the red points form a Sperner 2-family.

A Sperner 1-family is just an antichain.

# Sperner k-families and Chains



**Notation** When  $k \geq 1$ , the maximum size of a subposet  $S$  with  $\text{height}(S) \leq k$  is denoted  $w_k(P)$ . Here,  $w_2(P) = 9$ .

**Observation** When  $\text{height}(S) \leq k$  and  $C$  is a chain, then  $|S \cap C| \leq \min\{k, |C|\}$ .

# Chain Partitions and Sperner $k$ -families

**Observation** When  $k \geq 1$ , if  $\text{height}(S) \leq k$  and  $C = \{C_1, C_2, \dots, C_t\}$  is any chain partition of  $P$ , then

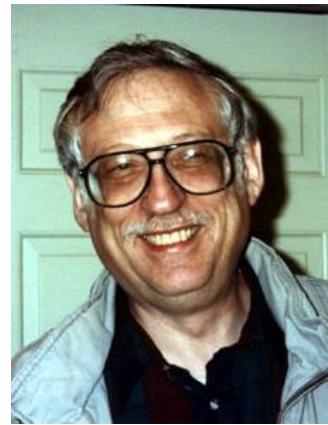
$$|S| \leq \sum_i \min \{k, |C_i|\}.$$

**Definition** A chain partition  $C$  is  **$k$ -saturated** when

$$w_k(P) = \sum_i \min \{k, |C_i|\}.$$

# Greene/Kleitman Theorem

**Theorem** (1976) For every  $k \geq 1$  and for every poset  $P$ , there is a chain partition  $C$  of  $P$  that is simultaneously  $k$  and  $k + 1$ -saturated.



# The G/K Theorem is Tight



**Theorem** (West, 1986) For every  $k \geq 1$  and for every  $h \geq 4$ , there is a poset  $P$  of height  $h$  which does not have a chain partition that is simultaneously  $k$  and  $k'$ -saturated whenever

$$1 \leq k < k' < h \text{ and } k + 2 \leq k'.$$

**Note** “Minimal” examples were given in 2002 by G. Chappell.

# Duality - Greene's Theorem

**Notation** When  $k \geq 1$ , the maximum size of a subset  $T$  in a poset  $P$  with  $\text{width}(T) \leq k$  is denoted  $h_k(P)$ .

**Note**  $h_1(P)$  is just the height of  $P$ .

**Theorem (1976)** For every  $k \geq 1$  and for every poset  $P$ , there exists an antichain partition  $A$  that is simultaneously  $k$  and  $k+1$ -saturated.

# Alternative Proofs

**Note** Combinatorial proofs of the Greene-Kleitman theorem have been provided by H. Perfect (1984) and M. Saks (1979). The argument by Saks results in an effective algorithm for finding for each  $k \geq 1$  a chain partition which is both  $k$ -saturated and  $k+1$ -saturated.

**Note** A. Frank (1980) has given a unified approach proving both Greene-Kleitman and Greene using network flows. A. J. Hoffman and D. E. Schwarz (1977) have given such a proof using linear algebra.

# On-line Antichain Partition Problems

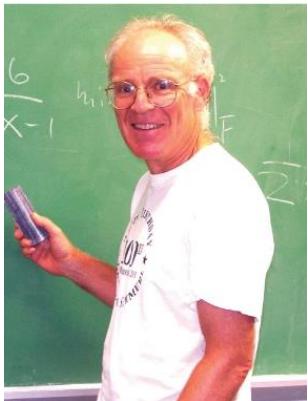
**Builder** reveals comparabilities between the new point and all preceding points.

**Partitioner** makes an irrevocable assignment of the new point to an antichain.

**Basic Question** Is there some function  $f(h)$  so that if Builder is constrained to posets of height at most  $h$ , then Partitioner can construct an on-line partition into  $f(h)$  antichains.

**Subtlety** Does it matter if Partitioner does or doesn't know  $h$ ?

# On-Line Antichain Partitioning



**Theorem** (Schmerl, Szemerédi, 1983) There is an on-line algorithm that will partition a poset of height  $h$  into

$$h(h + 1)/2$$

antichains. Furthermore, this is best possible. Also, Partitioner does not need to know  $h$  in advance.

# On-line Chain Partition Problems

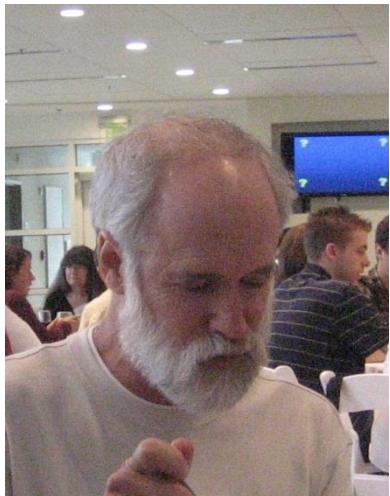
**Builder** reveals comparabilities between the new point and all preceding points.

**Partitioner** makes an irrevocable assignment of the new point to a chain.

**Basic Question** Is there some function  $g(w)$  so that if Builder is constrained to posets of width at most  $w$ , then Partitioner can construct an on-line partition into  $g(w)$  antichains.

**Subtlety** Does it matter if Partitioner does or doesn't know  $w$ ?

# On-Line Chain Partitioning



**Theorem** (Kierstead, 1981)  
There is an on-line algorithm  
that will partition a poset of  
width  $w$  into

$$(5^w - 1)/4$$

chains.

**Note** From below,  $w(w + 1)/2$   
chains are required.

# On-Line Chain Partitioning



**Theorem** (Bosek and Krawczyk, 2010) There is an on-line algorithm that will partition a poset of width  $w$  into  $w^{16 \log w}$  chains.

**Remark** The best lower bound to date is  $(1 - o(1)) w^2$ .

# Intersecting Maximal Chains



**Lemma** (Lonc and Rival, 1987) In a poset  $P$  on  $n$  points, there is always a set  $S$  of at most  $n/2$  points that meets every non-trivial maximal chain.

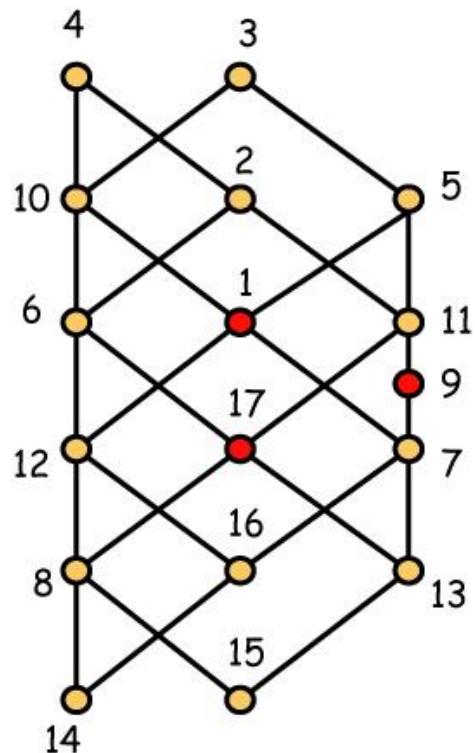
**Question** Does the same result hold for antichains?

# Intersecting Maximal Antichains



**Example** (Sands, 1991) There is a poset  $P$  on 17 points for which any set intersecting all non-trivial maximal antichains has at least 9 elements.

# Sands' Example



**Fact** For  $i = 1, 2, \dots, 16$ ,  $i$  and  $i + 1$  form a maximal antichain.

**Fact** 1, 9 and 17 form a 3-element maximal antichain.

# Intersecting Maximal Antichains



**Theorem** (Maltby, 1992) For every  $\varepsilon > 0$ , there is some  $n_0$  so that if  $n > n_0$ , then there is a poset  $P$  on  $n$  points for which any set intersecting all non-trivial maximal antichains has at least  $(8/15 - \varepsilon)n$  points.

# Intersecting Maximal Antichains



**Theorem** (Duffus, Kierstead and Trotter, 1991) The chromatic number of the hypergraph of non-trivial maximal antichains of a poset  $P$  has chromatic number at most 3.

As a consequence, if  $P$  has  $n$  elements, there is a subset  $S$  of size at most  $2n/3$  meeting every non-trivial maximal antichain.

# Pairwise Disjoint Maximal Antichains

**Theorem** (Duffus and Sands, 2009)  
Let  $s \geq k \geq 3$ . If

$$s \leq |C| \leq s + (s-k)/(k-2)$$

for every maximal chain  $C$  in  $P$ , then  
 $P$  has  $k$  pairwise disjoint maximal  
antichains.

# The Dual Theorem



**Theorem** (Howard and Trotter, 2009) Let  $s \geq k \geq 3$ . If

$$s \leq |A| \leq s + (s-k)/(k-2)$$

for every maximal antichain  $A$  in  $P$ , then  $P$  has  $k$  pairwise disjoint maximal chains.

# Transversals for Chains

**Theorem** (Greene and Kleitman, 1976) The minimum size of a set intersecting all maximum chains is equal to the maximum number of pairwise disjoint maximum chains.

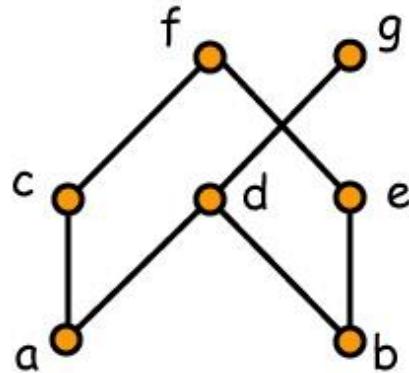
**Theorem** (Howard and Trotter, 2009) The minimum size of a set intersecting all maximal chains is equal to the maximum number of pairwise disjoint maximal chains.

# Transversals for Antichains

**Theorem** (Folklore but maybe 2014 ?) The minimum size of a set intersecting all maximum antichains is equal to the maximum number of pairwise disjoint maximum antichains.

**Theorem** (Howard and Trotter, 2009) When there is a finite projective plane of order  $q$ , there is a poset in which the maximum number of pairwise disjoint maximal antichains is 2, yet the minimum size of a set intersecting all maximal antichains has size  $2q$ .

# The Dimension of a Poset



$$L_1 = b < e < a < d < g < c < f$$

$$L_2 = a < c < b < d < g < e < f$$

$$L_3 = a < c < b < e < f < d < g$$

The **dimension** of a poset is the minimum size of a realizer. This realizer shows  $\dim(P) \leq 3$ . In fact,

$$\dim(P) = 3$$

# Bounds on Dimension

**Theorem** (Dilworth, 1950) The dimension of a poset is at most its width.

**Theorem** (Kimble 1974, Trotter 1975) If  $A$  is a maximum antichain in a poset  $P$ , then

$$\dim(P) \leq \max \{ 2, |P - A| \}.$$

**Corollary** (Hiraguchi, 1951) If  $P$  is a poset on  $n$  points and  $n \geq 4$ , then  $\dim(P) \leq n/2$ .

# Comparability and Incomparability Graphs

**Definition** With a poset  $P$ , we associate a **comparability graph**  $G$  whose vertex set is the ground set of  $P$  with  $xy$  an edge in  $G$  if and only if  $x$  and  $y$  are comparable in  $P$ .

**Definition** The **incomparability graph** of a poset is just the complement of the comparability graph.

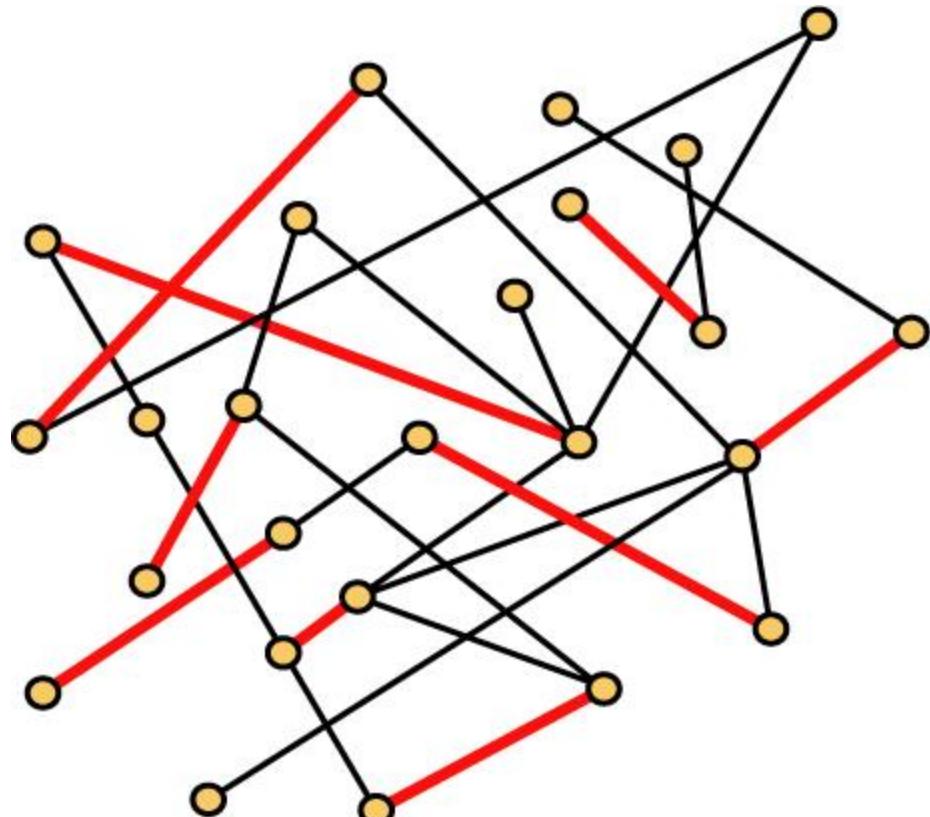
**Theorem** Height, width, dimension and number of linear extensions are comparability invariants.

# Matchings in Graphs

**Definition** A

matching in a graph is  
a set of edges with no  
common end points.

**Fact** The matching  
shown has size 9 and  
is maximal



# Dimension and Matchings



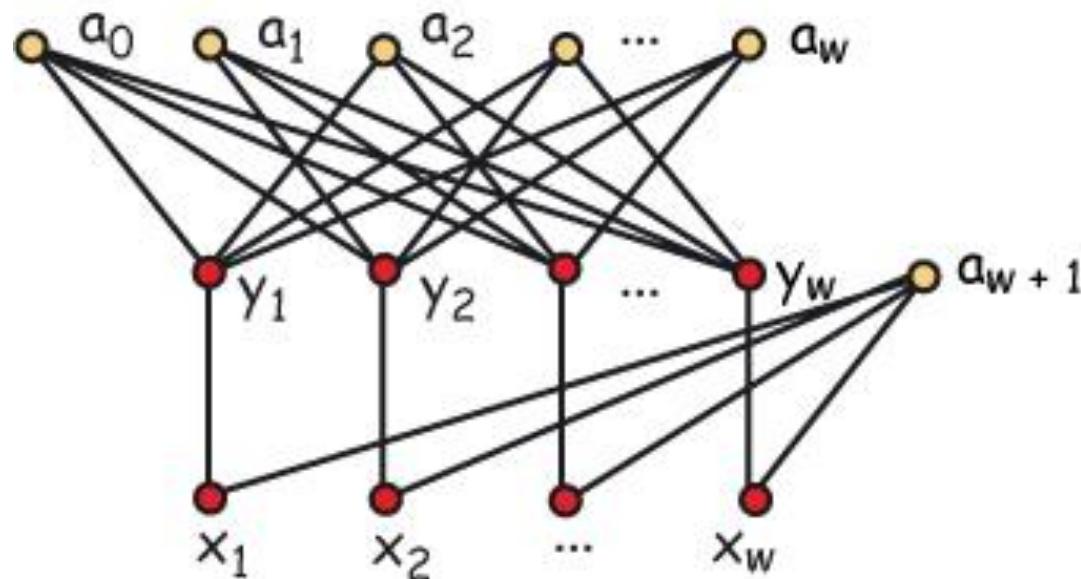
**Theorem** (Trotter and Wang, 2014) If  $\dim(P) = d \geq 3$ , there is a matching of size  $d$  in the comparability graph of  $P$ .

**Theorem** (Trotter and Wang, 2014) If  $\dim(P) = d \geq 3$ , there is a matching of size  $d$  in the incomparability graph of  $P$ .

**Corollary** (Hiraguchi, 1951) If  $P$  is a poset on  $n$  points and  $n \geq 4$ , then  $\dim(P) \leq n/2$ .

# Some Ideas Behind The Proofs (1)

**Theorem** (Trotter, 1975) For every  $w \geq 1$ , if  $P$  is a poset and  $\text{width}(P - \max(P)) = w$ , then  $\dim(P) \leq w + 1$ .



## Some Ideas Behind The Proofs (2)

**Theorem** (Trotter and Wang, 2014+) For every  $w \geq 2$ , if  $P$  is a poset,  $\text{width}(P - \text{max}(P)) = w$ ,  $P - \text{max}(P) = C_1 \cup C_2 \cup \dots \cup C_w$  with  $|C_w| = 1$ , then

$$\dim(P) \leq w.$$

**Theorem** (Trotter and Wang, 2014+) For every  $w \geq 2$ , if  $P$  is a poset,  $A$  is a subset of  $\text{max}(P)$  and  $P - A$  has a complete matching of size  $w$  which is maximum in  $P$ , then

$$\dim(P) \leq w.$$

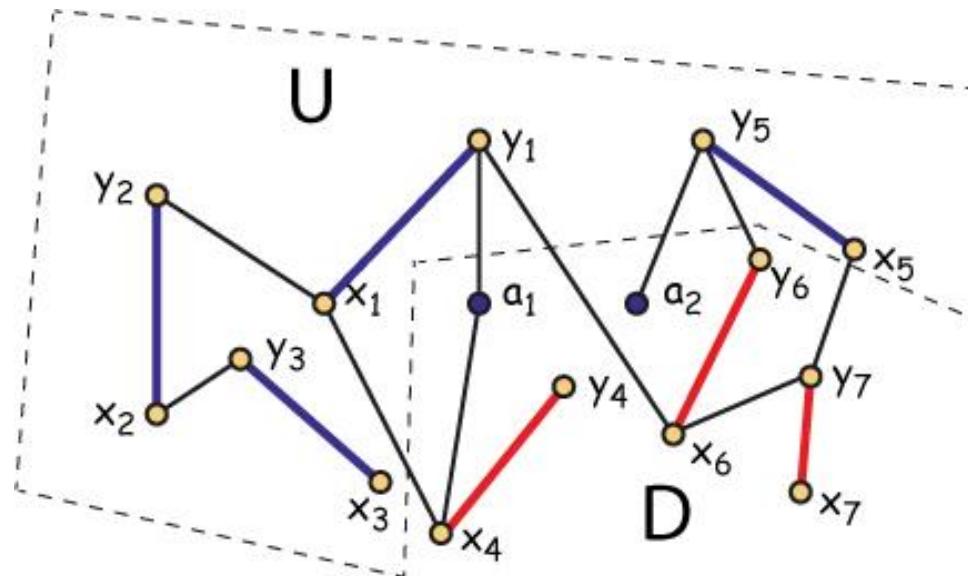
# Some Ideas Behind The Proofs (3)

**Theorem** (Trotter and Wang, 2014+) If  $P = U \cup D$  is a partition into an up set  $U$  and a down set  $D$ , then

$$\dim(P) \leq \text{width}(U) + \dim(D).$$

# Some Ideas Behind The Proofs (4)

**Overview** Look for a suitable maximum matching in  $P$ . This maximum matching splits the partitions the poset into an up set  $U$  and its complement  $D$ . The dimension of  $D$  is at most 3 and the width of  $U$  is at most 4. So  $\dim(P) \leq 3 + 4 = 7$ .



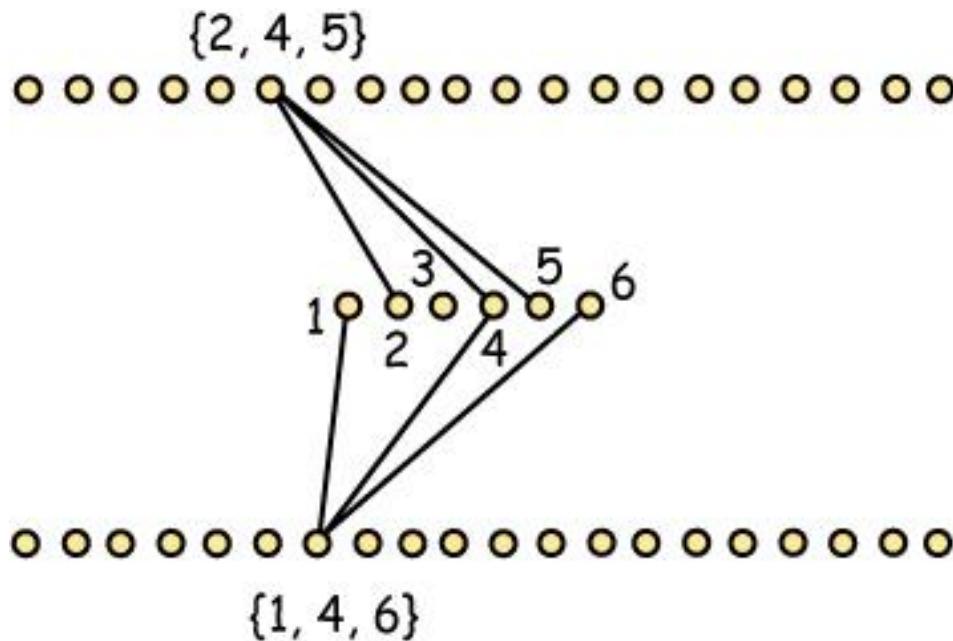
# Comparability Graphs - Not Cover Graphs

**Theorem** (Trotter and Wang, 2014+) For every  $n \geq 1$ , there is a poset of dimension  $\binom{2n}{n}$  for which the maximum size of a matching in the cover graph has size  $2n$ .

**Theorem** (Trotter and Wang, 2014+) If  $P$  is a poset and the maximum size of a matching in the cover graph of  $P$  has size  $m$ , then

$$\dim(P) \leq (5^m + 2m)/2.$$

# Coding the Standard Example



**Example** Points in  $\{1, 2, \dots, 2n\}$  in middle. Minimal element for every  $n$ -element subset. Same for maximal elements

# Matchings in Graphs

**Observation** A point not in the matching has a signature from a set of size  $5^m$ .

**Fact** WLOG, no two points have same signature.

**Conclusion**  $P$  has size at most  $5^m + 2m$ .

