More about primes lying over...

 $\mathfrak{p}$  splits completely in K when there are [K : k] distinct primes lying over  $\mathfrak{p}$  in  $\mathfrak{O}$ .

**Corollary:** For an *abelian* K/k, the decomposition subfield  $K^{\mathfrak{P}}$  is the maximal subfield of K (containing k) in which  $\mathfrak{p}$  splits completely.

## Frobenius map/automorphism

Artin map/automorphism

... and **Dedekind rings**.

2

## The picture is



So far, we know that in the Galois case G is *transitive* on primes  $\mathfrak{P}$  lying over  $\mathfrak{p}$ .

**And** the decomposition subfield  $K^{\mathfrak{P}}$  (=fixed field of decomposition group  $G_{\mathfrak{P}}$ ) is the smallest subfield of K such that  $\mathfrak{P}$  is the only prime lying over  $K^{\mathfrak{P}} \cap \mathfrak{P}$ .

**Claim:** The inclusion  $\mathfrak{o}/\mathfrak{p} \to \mathfrak{O}^{\mathfrak{P}}/\mathfrak{q}$  to the residue field attached to the decomposition field of  $\mathfrak{P}$  is an *isomorphism*.

*Proof:* The induced map is indeed an *inclusion*, because

$$\mathfrak{p} = k \cap \mathfrak{P} = k \cap K^{\mathfrak{P}} \cap \mathfrak{P}$$

For surjectivity: for  $\sigma \in G$  but not in  $G_{\mathfrak{P}}, \sigma \mathfrak{P} \neq \mathfrak{P}$ , and the prime ideal

$$\mathfrak{q}_{\sigma} = K^{\mathfrak{P}} \cap \sigma \mathfrak{P}$$

is not  $\mathfrak{q}$ , since  $\mathfrak{P}$  is the only prime lying over  $\mathfrak{q}$ .

Thus, given  $x \in \mathfrak{O}^{\mathfrak{P}}$ , Sun-Ze's theorem gives  $y \in \mathfrak{O}^{\mathfrak{P}}$  such that

$$\begin{cases} y = x \mod \mathfrak{q} \\ y = 1 \mod \mathfrak{q}_{\sigma} \quad \text{(for all } \sigma \text{ not in } G_{\mathfrak{P}}) \end{cases}$$

Thus, certainly in the larger ring  $\mathfrak{O}$ 

$$\begin{cases} y = x \mod \mathfrak{P} \\ y = 1 \mod \sigma \mathfrak{P} \quad \text{(for all } \sigma \text{ not in } G_{\mathfrak{P}}) \end{cases}$$

That is,  $\sigma y = 1 \mod \mathfrak{P}$  for  $\sigma \notin G^{\mathfrak{P}}$ . The Galois norm of y from  $K^{\mathfrak{P}}$  to k is a product of y with images  $\sigma y$  with  $\sigma \notin G^{\mathfrak{P}}$ . Therefore,

$$N_k^{K^{\mathfrak{P}}}y = x \mod \mathfrak{P}$$

The norm is in  $\mathfrak{o}$ , and the congruence holds mod  $\mathfrak{q}$  since  $x \in \mathfrak{O}^{\mathfrak{P}}$ .

**Claim:**  $\tilde{\kappa} = \mathfrak{O}/\mathfrak{P}$  is normal over  $\kappa = \mathfrak{o}/\mathfrak{p}$ , and  $G_{\mathfrak{P}}$  surjects to  $\operatorname{Gal}(\tilde{\kappa}/\kappa)$ .

Proof: Let  $\alpha \in \mathfrak{O}$  generate a separable subextension (mod  $\mathfrak{P}$ ) of  $\tilde{\kappa}$  over  $\kappa$ . The minimal polynomial of  $\alpha$  over k has coefficients in  $\mathfrak{o}$  because  $\alpha$  is integral over  $\mathfrak{o}$ . Since K/k is Galois, f splits into linear factors  $x - \alpha_i$  in K[x]. Then  $f \mod \mathfrak{P}$  factors into linear factors  $x - \bar{\alpha}_i$  where  $\bar{\alpha}_i$  is  $\alpha_i \mod \mathfrak{P}$ .

Thus, whatever the minimal polynomial of  $\bar{\alpha}$  over  $\kappa$ , it factors into linear factors in  $\tilde{\kappa}[x]$ . That is,  $\tilde{\kappa}/\kappa$  is normal, and

$$[\kappa(\bar{\alpha}):\kappa] \leq [k(\alpha):k] \leq [K:k]$$

By the theorem of the primitive element, the maximal separable subextension is of finite degree, bounded by [K:k].

To prove surjectivity of the Galois group map, it suffices to consider the situation that  $\mathfrak{P}$  is the only prime over  $\mathfrak{p}$ , from the discussion of the decomposition group and field above. Thus,  $G = G_{\mathfrak{P}}$  and  $K = K^{\mathfrak{P}}$ .

By the theorem of the primitive element, there is  $\alpha$  in  $\mathfrak{O}$  with image  $\bar{\alpha} \mod \mathfrak{P}$  generating the (maximal separable subextension of the) residue field extension  $\tilde{\kappa}/\kappa$ . Let f be the minimal polynomial of  $\alpha$  over k, and  $\overline{f}$  the reduction of  $f \mod \mathfrak{p}$ .

Normality of K/k gives the factorization of f(x) into linear factors  $x - \alpha_i$  in  $\mathfrak{O}[x]$ , and this factorization reduces mod  $\mathfrak{P}$  to a factorization into linear factors  $x - \overline{\alpha}_i$  in  $\tilde{\kappa}[x]$ .

Automorphisms of  $\tilde{\kappa}/\kappa$  are determined by their effect on  $\bar{\alpha}$ , and map  $\bar{\alpha}$  to other zeros  $\bar{\alpha}_i$  of  $\overline{f}$ . Gal(K/k) is *transitive* on the  $\alpha_i$ , so is transitive on the  $\bar{\alpha}_i$ . This proves surjectivity. /// The **inertia subgroup** is the kernel  $I_{\mathfrak{P}}$  of  $G_{\mathfrak{P}} \to \operatorname{Gal}(\tilde{\kappa}/\kappa)$ , and the **inertia subfield** is the fixed field of  $I_{\mathfrak{P}}$ . (This is better called the  $0^{th}$  ramification group...) For typical K/k, we'll see later that  $I_{\mathfrak{P}}$  is *trivial* for most  $\mathfrak{P}$ .

**Remark:** For us,  $\tilde{\kappa}/\kappa$  will almost always be *separable*.

A prime  $\mathfrak{p}$  is **inert** in K/k (or in  $\mathfrak{O}/\mathfrak{o}$ ) the degree of the residue field extension (for any prime lying over  $\mathfrak{p}$ ) is equal to the global field extension degree:  $[\tilde{\kappa} : \kappa] = [K : k].$ 

**Corollary:** For *finite* residue field  $\kappa$ , existence of inert primes in K/k implies Gal(K/k) is *cyclic*.

*Proof:* Galois groups of finite extensions of finite fields are (separable and) cyclic. The degree equality requires that the map  $G_{\mathfrak{P}} \to \operatorname{Gal}(\tilde{\kappa}/\kappa)$  be an *isomorphism*, and that  $G = G_{\mathfrak{P}}$ . ///

## Examples:

In quadratic Galois extensions K/k, there is no obvious *obstacle* to primes being *inert*, since a group with 2 elements could easily surject to a group with 2 elements.

**Remark:** Lack of an obstacle does not prove *existence*... Indeed, in extensions of  $\mathbb{C}(x)$  no prime stays prime, since the residue fields are all  $\mathbb{C}$ , which is already algebraically closed.

In non-abelian Galois extensions such as  $\mathbb{Q}(\sqrt[3]{2}, \omega)/\mathbb{Q}$ , with  $\omega$  a cube root of unity, *no* prime  $p \in \mathfrak{o} = \mathbb{Z}$  can stay prime.

The Galois group of a cyclotomic extension  $\mathbb{Q}(\omega)/\mathbb{Q}$  with  $\omega$  an  $n^{th}$  root of unity is  $(\mathbb{Z}/n)^{\times}$ , which is *cyclic* only for n of the form  $n = p^{\ell}, n = 2p^{\ell}$ , for p an odd prime, and for n = 4 (from elementary number theory).

[*Examples*, cont'd]

We had already seen that  $p \in \mathbb{Z}$  stays prime in  $\mathbb{Q}(\omega)/\mathbb{Q}$  if and only if the  $n^{th}$  cyclotomic polynomial  $\Phi_n$  is irreducible in  $\mathbb{F}_p[x]$ . This irreducibility is equivalent to n not dividing  $p^d - 1$  for any  $d < \deg \Phi_n$ . This is equivalent to p being a primitive root (=generator) for  $(\mathbb{Z}/n)^{\times}$ .

Again, a *necessary* condition for cyclic-ness of  $(\mathbb{Z}/n)^{\times}$  is that n be of the special forms  $p^{\ell}, 2p^{\ell}, 4$ .

But *Dirichlet's theorem* on primes in arithmetic progression is necessary to prove existence of *primes* equal mod n to a primitive root.

Quadratic reciprocity gives a congruence condition for quadratic extensions of  $\mathbb{Q}$ , and Dirichlet's theorem again gives *existence*.

 $\mathfrak{p}$  splits completely in K when there are [K : k] distinct primes lying over  $\mathfrak{p}$  in  $\mathfrak{O}$ .

## **Examples:**

In  $\mathbb{Q}(\sqrt{D})/\mathbb{Q}$  with square-free  $D \in \mathbb{Z}$ , odd p not dividing D with D a square mod p split completely: with  $D = 2, 3 \mod 4$ , for simplicity, so that the ring of integers is really  $\mathbb{Z}[\sqrt{D}]$ , as earlier,

$$\mathfrak{O}/p\mathfrak{O} = \mathbb{Z}[x]/\langle p, x^2 - D \rangle = \mathbb{F}_p[x]/\langle x^2 - D \rangle$$

In  $\mathbb{Q}(\omega)/\mathbb{Q}$  with  $\omega$  an  $n^{th}$  root of unity, primes  $p = 1 \mod n$  split completely. As we will see, the integral closure  $\mathfrak{O}$  of  $\mathbb{Z}$  in  $\mathbb{Q}(\omega)$ really is  $\mathbb{Z}[\omega]$ , and then, with  $\Phi_n$  the  $n^{th}$  cyclotomic polynomial,

$$\mathfrak{O}/p\mathfrak{O} = \mathbb{Z}[x]/\langle p, \Phi_n \rangle = \mathbb{F}_p[x]/\langle \Phi_n \rangle$$

The  $n^{th}$  cyclotomic polynomial splits into linear factors over  $\mathbb{F}_p$  exactly when  $p = 1 \mod n$ , because  $\mathbb{F}_p^{\times}$  is *cyclic*.

Proof that there are infinitely-many primes  $p = 1 \mod n$  is much easier than the general case of Dirichlet's theorem:

Given a list  $p_1, \ldots, p_\ell$  of primes, consider  $N = \Phi_n(tp_1 \ldots p_\ell)$ for integers t at our disposal. The cyclotomic  $\Phi_n$  has integer coefficients and constant coefficient  $\pm 1$ , so N is not divisible by any  $p_j$ . For sufficiently large t, N cannot be  $\pm 1$ , either. Thus, Nhas prime factors p other than  $p_j$ .

At the same time,  $p|\Phi_n(j)$  for an integer j says that j is a primitive  $n^{th}$  root of unity mod p, so  $p = 1 \mod n$ . ///

**Corollary:** For *abelian* K/k, the decomposition subfield  $K^{\mathfrak{P}}$  is the maximal subfield of K (containing k) in which  $\mathfrak{p}$  splits completely.

*Proof:* With  $\sigma_1, \ldots, \sigma_n$  representatives for  $G/G_{\mathfrak{P}}$ , by transitivity,  $\sigma_j \mathfrak{P}$  are distinct, and are all the primes over  $\mathfrak{p}$ . The abelian-ness implies that the decomposition subfields  $K^{\mathfrak{P}}$  for the  $\sigma_j \mathfrak{P}$  are all the same.

Let  $\mathbf{q} = \mathfrak{P} \cap K^{\mathfrak{P}}$ . From above,  $\mathfrak{P}$  is the only prime over  $\mathbf{q}$ , and  $\sigma_j \mathfrak{P}$  is the only prime over  $\sigma_j \mathbf{q}$ , and the latter must be *distinct*. Since  $[K:k] = |G| = |G_{\mathfrak{P}}| \cdot n$ , necessarily  $\mathfrak{p}$  splits completely in  $K^{\mathfrak{P}}$ .

Conversely, with E an intermediate field in which  $\mathfrak{p}$  splits completely,  $G_{\mathfrak{P}}$  fixes  $\mathfrak{P} \cap E$ . The hypothesis that  $\mathfrak{p}$  splits completely in E implies that the decomposition subgroup of  $\mathfrak{P} \cap E$  in  $\operatorname{Gal}(E/k)$  is *trivial*. That is, the restriction of  $G_{\mathfrak{P}}$  to E is trivial, so  $G_{\mathfrak{P}} \subset \operatorname{Gal}(K/E)$ .