

$G: \mathbb{R}^n \rightarrow \mathbb{R}^n$ , class  $C^r$ ,  $r > 2$ .  $G(0) = 0$ .

(1)

$$\dot{X} = G(X)$$

$X=0$  is unique soln satisfying  $X(0) = 0$ .

The fixed singular point  $X=0$  is asymptotically stable if

(1) Given  $\epsilon > 0$ ,  $\exists \delta > 0$  s.t. for every  $P_0$  with  $|P_0| < \delta$  the solution  $\phi$  to PDE with ~~the~~ initial condition  $\phi(0) = P_0$  can be extended to the half line  $t > 0$  s.t.  $|\phi(t)| < \epsilon$   $\forall t > 0$ .

(2)  $\exists \eta > 0$  s.t.  $\lim_{t \rightarrow \infty} \phi(t) = 0$   $\forall \phi$ , solutions of PDE s.t.  $\phi(0) < \eta$ .

The  $A: n \times n$  matrix  $\in \text{Mat}_n(\mathbb{R})$ . Then 0 is asymptotically stable singular point of  $\dot{X} = AX \iff$  e-values of  $A$  have negative real part.

Lyapunov showed this extends to linearized systems:

$$\dot{X} = \underbrace{JG(0)}_{\text{Jacobian of } G \text{ at } 0} \cdot X$$

Thm: If real part of e-values of  $JG(0)$  are negative, then 0 is asymptotically stable point of PDE.

Globally asymptotically stable if  $\eta$  (in definition of asymp. stable) may be taken to be  $\infty$ .

In linear system, if 0 is asymptotically stable, then globally asymp. stable.

Conjecture (Markus-Yamabe, 1960)

$X=0$  is globally asymptotically stable for  $\dot{X} = G(X)$

if, for every  $P \in \mathbb{R}^n$ , ~~then~~  $X=0$  is asymptotically stable

for the linear system  $\dot{X} = JG(P)X$

$n=2$ : True. Gutierrez '93. ( $G$ : polynomial: Meisters/Olech '88)

$n \geq 4$ : False. Barbanson '88

In  $n=2$  case, can then  $\dot{X} = JG(P)X$  has  $X=0$  as asymptotically stable  $\Leftrightarrow$   $JG(P)$  has  $\det(JG(P)) > 0$   
 $\text{tr}(JG(P)) < 0$

$\mathcal{F}$ : class of  $C^1$  maps  $F: \mathbb{R}^2 \rightarrow \mathbb{R}^2$  satisfying

(a)  $F(0) = 0$

(b)  $\det(JG(P)) > 0 \quad \forall P \in \mathbb{R}^2$

(c)  $\text{tr}(JG(P)) < 0 \quad \forall P \in \mathbb{R}^2$

Link to Jacobian conjecture (?).

Prop.: Suppose origin is <sup>globally</sup> asymptotically stable pt. of  $\dot{X} = F(X)$  for every polynomial map  $F \in \mathcal{F}$ . Then polynomials in  $\mathcal{F}$  are injective

(so maps in  $\mathcal{F}$  invertible)

Proposition: Suppose the origin  $X=0$  is a globally asymptotically stable point of  $\dot{X} = F(X)$ , for every polynomial  $F \in \mathcal{F}$ .

Then the polynomial maps in  $\mathcal{F}$  are injective.

pf: Suppose  $F \in \mathcal{F}$  not injective. So  $\exists P_1, P_2$  s.t.

$F(P_1) = F(P_2) = Q$ . Consider  $\dot{X} = H(X)$  where

$H(X) = F(X + P_1) - Q$ . Two equilibrium points:  $X=0, X = P_2 - P_1 \neq 0$ .

so  $X=0$  can't be globally asymptotically stable. Is  $H \in \mathcal{F}$ ?

Yes, since  $J(H)(x) = JF(x + P_1)$ . So this gives contradiction.

would  
(state this as corollary)

but we'll use it in  
course of proving main  
theorem.

Main

Thm (Meisner/Olech) Let  $F \in \mathcal{F}$ . Then  $X=0$  is a globally asymptotically stable point of the system  $\dot{X} = F(X)$ .

Will follow from two results:

Thm A:  $F: K^n \rightarrow K^n$  polynomial, if  $\det J(F) \neq 0$  everywhere in  $K^n$  then  $\exists b: \text{pos int.}$  such that  $F^{-1}(P)$  has at most  $b^n$  points for every  $P \in K^n$ .

(Give D-module proof due to van den Eschen '91).

Thm B:  $F \in \mathcal{F}$ . iff  $\exists$  positive consts.  $\rho, r$  such that

$|F(x)| \geq \rho$  whenever  $|x| \geq r$ , then  $X=0$  is

a globally asymptotically stable point of the system  $\dot{X} = F(X)$ .

(Application of Green's thm, due to Olech '63. Pure analytic proof)

proof of main theorem: Since  $F \in \mathcal{F}$ , then by theorem A, (5)

$$\sup \{ |F'(Y)| : Y \in \mathbb{R}^2 \} = k : \text{const} < \infty.$$

Let  $p$  be point for which maximum is attained, and label

$$F^{-1}(p) = \{ Q_1, \dots, Q_k \}. \quad \text{By inverse function thm, we may}$$

choose nbhds  $V_i$  of  $Q_i$  s.t.  $F: V_i \rightarrow \underbrace{B_p(p)}_{\substack{\text{Ball of radius} \\ \rho \text{ centered} \\ \text{at } p}}$  is a diffeomorphism.

Also pick  $V_i$  disjoint.

$$\text{Then } F^{-1}(B_p(p)) = V_1 \cup \dots \cup V_k.$$

( if  $\exists w$  of  $V_1 \cup \dots \cup V_k$  s.t.  $F(w) \in B_p(p)$

then  $F(Y_i) = F(w) \forall i=1, \dots, k$ , contradicting maximality. )

pick  $r' > 0$  so that  $V_1 \cup \dots \cup V_k \subseteq B_{r'}(0)$ . Then

$$|F(x) - p| \geq \rho \quad \text{if } |x| \geq r' \quad (*)$$

Set  $G(x) = F(x + Q_1) - p$ . Then  $G(x)$  satisfies hypotheses of thm B. (i.e.  $X=0$  is asymptotically stable point of  $\dot{X} = G(x)$ .)

By earlier proposition, this implies  $G$  injective

$$\text{But } G(Q_i - Q_1) = F(Q_i) - p = 0 = G(0) \quad \text{for } i=1, \dots, k$$

so if  $G$  injective, then  $k=1$ .

$\Rightarrow F^{-1}(p)$  has at most one point for any  $p \in \mathbb{R}^2$ , so  $p=0$  attains max

and hence  $(*)$  valid for  $p=0$ . Thus thm follows from thm B. ( $F \in \mathcal{F} \Rightarrow F(0)=0$ )

(6)

$F: K^n \rightarrow K^n$  : polynomial  $F = (F_1, \dots, F_n)$  and write

$\Delta(x) = \det JF(x)$ . Suppose  $\Delta(x) \neq 0$  for every  $x \in K^n$ .

Given  $g \in K[x, \Delta^{-1}]$  consider the derivation  $D_i$  given by

$$D_i(g) = \Delta^{-1} \det J(F_1, \dots, \underset{\substack{\uparrow \\ \text{ith pos.}}}{g}, \dots, F_n)$$

with  $[D_i, F_j] = \delta_{ij}$ ,  $[D_i, D_j] = 0$ .  $\forall i, j$

Let  $M(F)$  be the  $D$ -module defined as  $K[x, \Delta^{-1}]$  with action  
( $A_n$ -module)

$$x_i \cdot g = F_i \cdot g \quad \text{not hard to (check this well-defined)}$$

$$\partial_i \cdot g = D_i(g) \quad g \in K[x, \Delta^{-1}]$$

key Lemma (in pf of thm A) : As  $A_n$ -module,  $M(F)$  is holonomic  
with multiplicity  $\leq 2^n (2nd + 1)^n$  where  $d = \max_i \deg(F_i)$ .

(analogous to earlier result which was key in proving existence of

Bernstein polynomial.  $\partial_i (f/p^r) := \partial_i(f) \cdot p^r - f \partial_i(p^r) / p^{2r}$ )

multiplicity bounds length, and finite length gave rise to functional equation.

steps : (1)  $\Gamma_v := \{ g \cdot \Delta^{-2v} \in K[x, \Delta^{-1}] : \deg(g) \leq 2v(2nd+1) \}$   
 $v \in \mathbb{N}$

is a filtration.

(2)  $\Gamma_v$  as  $K$ -vector space has dimension  $\dim_K \Gamma_v \leq \frac{2^n (2nd+1)}{n!} v^n +$  smaller order terms  
(counting polynomials of given degree)

(10.3.1)

Lemma:  $M$  left  $A_n$ -module with filtration  $\Gamma$  w.r.t. Bernstein filtration of  $A_n$ . Suppose  $\exists c_1, c_2$  s.t. for  $j \gg 0$ ,

$$\dim_K \Gamma_j \leq c_1 \binom{j^n}{n!} + c_2 (j+1)^{n-1}$$

$\rightarrow M$  holonomic  $A_n$ -module with multiplicity  $\leq c_1$

(pt. of lemma is that if Hilbert poly growing like this, then must be of deg  $\leq n$ .  
at most  
+ Bernstein's lower bound.)

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(7)

proof of theorem A : (where we finally make use of Kashiwara's thm.) (B)

To any  $P \in K^N$ , consider polynomial  $F-P$  (assume  $\det J(F) \neq 0$  everywhere  $\Rightarrow$

Let  $M(P) := M(F-P)$ , the set  $K[x_1, \delta^{-1}]$  with  $A_n$ -module structure (det  $J(F-P) \neq 0$  everywhere)

given as above (depends on  $P$ , in that  $x_i \cdot g = (F_i - P_i) \cdot g$ )

Previous lemma ensures  $M(P)$  is holonomic, with multiplicity  $\leq b$

By Kashiwara's thm, the embedding  $\iota: \mathbb{A}^1 \rightarrow \mathbb{A}^1 \times X$   
 $\bullet \mapsto (\bullet, 0)$

induces map  $\iota^*$  on  $\mathcal{D}$ -modules with

$\iota^*: M \mapsto M / (x)M$ , preserving holonomicity. with mult.  $\leq b$ .

$$\text{so } M(P) / \sum_i x_i \cdot M(P) = M(P) / \sum_i (F_i - P_i) \cdot M(P)$$

is holonomic with multiplicity  $\leq b$  as well,

so as vector space over  $K$ , has dimension  $\leq b$ .

$\Rightarrow \{1, x_1, x_1^2, \dots, x_1^b\}$  linearly dependent

$\Rightarrow \exists g_1 \in K[x_1]$ ,  $\deg \leq b$ , and positive integer  $r$  s.t.

$$\Delta^r g_1(x_1) \in \sum_i (F_i - P_i) M(P)$$



Given  $Q = (Q_1, \dots, Q_n) \in K^n$  s.t.  $F(Q) = P$ , then

(9)

$$\Delta(Q)^T g(Q_1) = 0 \Rightarrow g(Q_1) = 0 \text{ since } \Delta \neq 0 \text{ on } K^n.$$

so there are at most  $b$  possibilities for  $Q_1$ . Repeating, we have at most  $b^n$  choices for  $Q$ . (Since  $P$  arbitrary, result follows)