

## Moduli spaces and obstruction theory

### § 1 : The basic moduli problem

Let  $E_*$  be a homology theory based on a ring spectrum

so that  $\mathcal{U}: E_* \rightarrow E_* E$  is flat ( $\Rightarrow$  good theory of comodules)

Let  $A$  be a commutative algebra in  $E_* E$ -comodule ("comodule algebra")  
Find all  $E_{\infty}$  ring spectrum  $X$  so that  $E_* X \cong A$ .

Category  $\mathcal{R}(A)$  "realization category"

ob  $\mathcal{R}(A) = X \in \text{Alg}_{E_{\infty}}$  so that  $E_* X \cong A$  (rest part of data)  
mor  $\mathcal{R}(A) = (X \rightarrow Y) \in \text{Alg}_{E_{\infty}}$  so that  $E_* f$  is iso.

Moduli space for this problem:  $B\mathcal{R}(A) = \text{nerve of } \mathcal{R}(A)$ .

Basic problem: calculate the homotopy type of  $B\mathcal{R}(A)$  if  $B\mathcal{R}(A) \neq \emptyset$ .

If  $B\mathcal{R}(A) \neq \emptyset \Leftrightarrow \exists X$  s.t. that  $E_* X \cong A$

Then:  $\pi_0 B\mathcal{R}(A) = E_*$ -iso classes of  $X$ 's.

Thm (Dwyer-Kan):

$$B\mathcal{R}(A) \simeq \coprod_{[X] \in \pi_0} B \text{hAut}_{E_{\infty}}(X)$$

with  $\text{hAut}_{E_{\infty}}(X)$  space of self  $E_{\infty}$ -equivalences of some fibrant/cofibrant  $X$  in  $[X]$ .

### § 2 Spectra and $E_{\infty}$ ring spectra

$\underline{\mathcal{S}}$  = a category of spectra

Axiom 1  $\text{Ho}(\underline{\mathcal{S}}) = \text{stable homotopy category}$

$\underline{\mathcal{S}}$  is a cofibrantly generated simplicial model category (Quillen equivalent to Bousfield-Friedlander spectra. Technical: generators should have cofibrant source.

Axiom 2:  $\underline{S}$  has closed symmetric monoidal smash product which descends to the usual smash product on homotopy category.

Axiom 3: If  $S$  is the sphere spectrum and  $K$  a space, then  
 $K \otimes X \cong (K \otimes S) \wedge X \cong$  (i.e., 2 & 3 are compatible).

Axiom 4: If  $K \rightarrow L$  is a map of  $\Sigma_n$ -spaces which is a weak equivalence as spaces, and if  $X$  is a cofibrant spectrum, then  
 $K \otimes_{\Sigma_n} (X_{1..n} X) \rightarrow L \otimes_{\Sigma_n} (X_{1..n} X)$  is a weak equivalence.

Theorem: Such  $\underline{S}$  exist.

Examples: EKMM  $S$ -modules, HSS symmetric spectra on top. spaces in positive model structure, HSS symmetric spectra on simplicial sets.

Example: If  $E\Sigma_n$  is your favorite free contractible  $\Sigma_n$ -space, then  $E\Sigma_n \rightarrow *$  satisfies hypotheses of axiom 4. So  
 $E\Sigma_n \otimes_{\Sigma_n} X^{in} \rightarrow X^{in} / E\Sigma_n$  is a weak equivalence for cofibrant  $X$ .

Definition:  $E_{\infty}$  ring spectra := category of commutative monoids in  $\underline{S}$  under  $\wedge$ .

$$= \text{Alg}_{E_{\infty}}$$

$A_{\infty}$ -ring spectra := cat. of associative monoids =  $\text{Alg}_{A_{\infty}}$

Thm:

$\text{Alg}_{E_{\infty}}$  is Quillen equivalent to algebras over any  $E_{\infty}$ -operad.

Axiom 5: For any operad of simplicial sets, the category  $\text{Alg}_e$  inherits a model category structure (i.e. fibrations and weak equivalences defined in  $\underline{S}$ ).

### § 3 André-Quillen cohomology.

Suppose  $\phi: X \rightarrow Y$  is a morphism of  $E_0$  ring spectra. Want to calculate

$$\pi_* (\text{map}_{E_0} (X, Y; \phi))$$

$$\pi_* \text{map}_E (X, Y) \cong [X, Y^{st}]_{\text{Alg}_{E_0}/Y} \longrightarrow \text{Hom}_{E_0 \text{ alg}/E_0} (E_* X, E_* (Y^st))$$

$$\text{Here } E_* (Y^{st}) \cong E_* Y \oplus \sum^{-t} E_* Y = E_* Y [E_{-t}], \quad E_{-t}^2 = 0$$

André-Quillen cohomology is the derived functor of this.

If  $A$  is a  $k$ -algebra,  $M$  an  $A$ -module, the "square zero extension" is

$$A \ltimes M = A \oplus M \text{ with product}$$

$$(a, x)(b, y) = (ab, xb + ay)$$

If  $K(A, n)$  is the ~~free~~  $A$ -module whose normalization is  $M[n]$

$$A \ltimes K(M, n) \in \text{SAlg}_k$$

$$\text{Then } \mathcal{J}^n(A/k, M) = \text{map}_{\text{SAlg}_k/A} (A, A \ltimes K(M, n))$$

Here and in following all spaces of maps are derived; replace source and target by cofibrant resp. fibrant objects as necessary.

Then

$$H^n(A/k, M) \cong \pi_0 \mathcal{J}^n(A, M) \cong \pi_k \mathcal{J}^{n+k}(A, M)$$

Note:  $E_* (Y^{st}) = E_* Y \ltimes (\sum^{-t} E_* Y)$  is an object of  $E_* E$ -module algebra.

If  $A$  is  $E_*$ -module algebra and  $M$  an  $A$ -module in  $E_*$ -modules, then define similarly

$$\mathcal{J}_{E_* E}^n (A/E_*, M)$$

If  $M = E_* E \oplus_{E_*} \Gamma_0$  is an extended module, then

$$\mathcal{J}_{E_* E}^n (A/E_*, E_* E \oplus_{E_*} \Gamma_0) \cong \mathcal{J}^n(A/E_*, \Gamma_0)$$

Example:  $\mathbb{M} = E_* E$ .

Remark:  $\mathcal{H}^n(A, \mathbb{M})$  depends very much on the ground category, which is suppressed from notation:

- associative algebras
- commutative algebra (does not apply, since  $E_*(E_{\infty}\text{-algebra})$  has extra structure)

One needs that  $E_*(\text{free } E_{\infty}(X)) = \text{some factor of } E_* X$ .

Note: for descent  $E_*$ ,  $E_*(\text{Tensor}(X)) = \text{Tensor}(E_* X)$   
if  $E_* X$  is flat as  $E_*$ -module.

Consider  $\text{Alg}_{A_{\infty}}$  with  $E_*$ -isomorphisms as weak equivalences.  
(localisation of Axiom 5 model structure).

Thm: Let  $f: X \rightarrow Y$  be a morphism in  $\text{Alg}_{A_{\infty}}$ . Then there is a spectral sequence

$$\overline{E}_2^{s,t} \implies \pi_{t-s}(\text{Alg}_{A_{\infty}}(X, Y), f)$$

with  $\overline{E}_2^{0,0} = \text{Hom}_{E_* E\text{-alg}}(E_* X, E_* Y)$  and

$$\overline{E}_2^{s,t} = H_{\text{assoc}}^s(E_* X / E_* , \Sigma^{-t} E_* Y)$$

where  $Y_E = E$ -completion of  $Y$ .

Bousfield: given algebraic map  $f: E_* X \rightarrow E_* Y$ , there are obstructions

$$\theta_s \in H_{E_* \text{assoc}}^{s+1}(E_* X / E_* , \Sigma^{-s} E_* Y)$$

to realising  $f$  as a map of Assoc-algebras  $X \rightarrow Y$ .  
(obstructions lie in "(-1)-stem").

Theorem: There exist successively defined obstructions

$$\xi_s \in H_{\text{assoc}}^{s+2}(E_*A/E_*, E_*X[s])$$

"(-2)-stem"

to realize  $A$  as an  $A_0$ -algebra

$$(here (M[E])_n = M_{\text{bin}}, so that M[E] = \Sigma^{-t} M)$$

Having such a realization gives a spectral sequence

$$H_{E_*E\text{-assoc}}^s(E_*X/E_*, E_*X[E]) \Rightarrow \pi_{t-s+1} BR(A)$$

14.10.03

Recall: We defined AQ-cohomology object

$$H_{\text{assoc}}^{**}(A/k; M) = \pi_0 \text{map } k\text{-alg}/A(A, A \times K(\mathbb{N}, n))$$

$$\text{ob}(k\text{-alg}/A) = \{ B \xrightarrow{\text{alg}} A \}$$

There was a respective  $E_*E$ -comodule version, and the AQ-cohomology groups came up in obstruction theory.

AQ-cohomology for  $E_*$ -algebras

To form the derived space of maps, need to take a cofibrant replacement  $X_* \rightarrow A$  in simplicial associative algebras. Thus

$$\pi_n X_* = \begin{cases} 0 & \text{for } n \neq 0 \\ A & \text{for } n = 0. \end{cases}$$

Cofibrant: forgetting the face maps,  $X_* \cong \text{Tensor}_*(\mathbb{N}, \cdot)$  for some simplicial  $k$ -module which is projective in each dimension.

If  $X \in \text{Alg}_{A_0}$ , we can imagine a simplicial  $A_0$ -ring spectrum

$$Z_* \rightarrow Y \text{ such that, forgetting the face maps } Z_* \cong T(\mathbb{N}, \cdot)$$

such that  $E_*(Z_n)$  is a projective  $E_*$ -module.  $\uparrow$  free  $A_0$

Then  $E_* Z. \cong E_* T(\Pi_0) \cong \text{Tensor}_{E_*} (E_* \Pi_0)$

and  $\pi_* (E_* Y_0) \xrightarrow{\cong} E_* X.$

### Crucial observation

$SAlg_k = \text{sylicial } k\text{-algebras} = \text{algebras in sylicial } k\text{-modules}.$

I.e.  $A_0 \in SMod_k$  is equipped with  $A_0 \otimes_k A_0 \rightarrow A_0.$

Let  $\mathcal{C}$  be any category with colimits and  $K$  a sylicial set. Then for  $X_0 \in \mathcal{C}$

$$K \otimes X \in \mathcal{C} \text{ given by } (K \otimes X)_n = \coprod_{K_n} X_n.$$

Warning: If  $X_0 \in \mathcal{S}^{\mathbb{Z}}$  is a sylicial spectrum, then

$$K \otimes X_0 \neq |K|_+ \wr X_0.$$

Example: If  $M_0 \in SMod_k$ , then  $K \otimes M_0 = k[K] \otimes_k M_0.$

If  $X_0 \in \mathcal{S}^{\mathbb{Z}}$  sylicial spectrum, then

$$[K \otimes X]_n = (K_n)_+ \wr X_n$$

If  $\mathcal{I}$  is any operad in sylicial sets and  $\mathcal{C}$  has a symmetric monoidal  $\wedge$  structure, then we have  $\mathcal{I}$ -algebras in  $\mathcal{C}$ , i.e.  $X_0 \in \mathcal{C}$

$$\mathcal{I}(n) \otimes_{\Sigma_n} X_1 \dots \wr X_n \rightarrow X_n.$$

In particular, in each sylicial dimension  $k$ ,  $\mathcal{I}(n)_k$  is a set-operad and  $X_k$  is an  $\mathcal{I}(n)_k$ -algebra.

Example:  $L(n) = \text{Ass}(n) = \mathbb{Z}_n$ , as constant simplicial set  
 then  $L$ -objects in  $\mathcal{S}\text{Mod}_k$  are precisely  $\mathcal{S}\text{Alg}_k$ .

Definition: An Eoo-oprod  $\mathcal{E}$  is a simplicial set oprod such that

(1) for all  $n, k$ ,  $\mathcal{E}(n)_k$  is a free  $\mathbb{Z}_n$ -set and  $\mathcal{E}(n)$  is weakly contractible.

(2)  $\mathcal{E}(\mathcal{S}\mathcal{C}) = \text{algebras over the oprod } \mathcal{E} \text{ in } \mathcal{S}\mathcal{C}$ .

Proposition: 1) Let  $X$  be a simplicial spectrum and  $\mathcal{E}$  an Eoo-oprod in simplicial sets. Then if  $E_*$  has a Künzeth spectral sequence, and  $E_* X_n$  is projective for each  $n$ , then

$$E_* (\mathcal{E}(X_*)) \cong \mathcal{E}(E_* X_*)$$

Here  $\mathcal{E}(-) = \text{free algebra in } \mathcal{S}\mathcal{C}$ , with  $\mathcal{C}$  understood

2) If  $X_* \in \mathcal{E}(\mathcal{S}\mathcal{S})$ , then the geometric realization  $|X_*|$  as a spectrum is an Eoo-ring spectrum.

Proposition: The category  $\mathcal{E}(\mathcal{S}\mathcal{S})$ ,  $\mathcal{E}(\mathcal{S}\text{Mod}_k)$  are independent up to Quillen equivalence or independent of  $\mathcal{E}$  (with  $\pi_* E_*(-)$  equalities resp.  $\pi_*$ -equalities)

Proof of upper Prop, Part 1):

$$[E_* \mathcal{E}(X_*)]_k = E_* \left( \bigvee_{n \geq 0} (\mathcal{E}(n)_k)_{+1} X_{k-1} X_k \right)$$

$\uparrow$   
 free  $\mathbb{Z}_n$ -set?

$$\cong \bigoplus_{n \geq 0} E_* (\mathcal{E}(n)_k) \otimes_{E_* [\mathbb{Z}_n]} (E_* X_k)^{\otimes_{E_*} n} = \mathcal{E}(E_* X_k)$$

projectivity

Example: If  $A$  is a simplicial commutative algebra, then  

$$E \rightarrow \underline{\text{Comm}}$$
 makes  $A$  into an object in  $E(\text{Mod}_k)$

In particular, this goes for the constant simplicial algebra.

If  $M$  is an  $A$ -module (for  $A$  discrete/constant), then

$$B_0 = A \times K(\pi, n) \in E(\text{Mod}_k).$$

$E_\infty$ -AQ cohomology:

$$H_E^n(A/k; M) = \pi_0 \text{map}_{E(\text{Mod}_k)/A} (A, A \times K(\pi, n))$$

$\underbrace{\hspace{15em}}_{\mathcal{D}^n(A, M) \text{ derived mapping space.}}$

Robinson-Whitehouse: Conjecture theory using  $\pi$ -homology.

Theorem: If  $A$  is a commutative algebra in  $E_0 E$ -modules  
 (under certain hypothesis on  $E$ ), there are successively defined obstructions

$$\Theta_s \in H_{E-E_0 E}^{s+2}(A/k; A[s])$$

to realizing  $A$  as an  $E_0$  ring spectrum.

There is a spectral sequence for analyzing the entire moduli space of  $E_0$ -realizations of  $A$ .

Proposition:  ~~$H_E^n(A/k; M)$~~   $H_E^n(A/k; M)$  satisfies flat base change, transitivity, and vanishes when  $k \rightarrow A$  is étale.

Flat base change:

$$\begin{array}{ccc} k & \xrightarrow{f} & L \\ \downarrow g & & \downarrow \\ A & & A \end{array}$$

If  $f$  or  $g$  is flat, and  $M$  is an  $A \otimes_k L$ -module. Then

$$H_E^n(A/k, M) \cong H_E^n(L \otimes_k A/L, M)$$