

16. 10. 03

Today we'll construct  $L_{K(2)} \mathcal{O}^{top}$  (completed at some prime  $p$ )

At  $p=2$ , the candidate was

$$L_{K(2)} \text{unif} = L_{K(2)} \mathcal{O}^{top}(W_{weier}) \cong KO[ca]$$

This is supposed to be  $E_{\infty}$ , so it has  $\psi$  and  $\theta$ -operations.

Recall the ring of modular forms

$$\bigoplus_n H^0(W_{weier}, W^n) = \mathbb{Z}[c_4, c_6, \Delta] / (c_4^3 - c_6^2 - 1728 \cdot \Delta)$$

The ring of rational functions on  $W_{weier}$  is  $\mathbb{Z}(j)$  with  $j = \frac{c_4^3}{\Delta}$  of weight 0. The above  $\alpha$  is a modular function, and in fact

$$\alpha = \frac{1}{j} \pmod{2}.$$

In terms of functions on the upper half plane, we have  $q$ -expansions

$$c_4 = 1 + 240 \sum_{n \geq 1} \sigma_3(n) q^n \quad (\sigma_k(n) = \sum_{d|n} d^k)$$

$$\Delta = q \cdot \prod (1 - q^n)^{24} = \sum \tau(n) q^n$$

( $\Rightarrow$  can get  $q$ -expansion for  $j$ ).

In terms of  $q$ -expansions, the operation  $\psi$  is given by  $(\psi f)(q) = f(q^2)$  at  $p=2$ .

We'll construct  $L_{K(2)} \text{unif}$  by "generators and relations" in  $K(2)$ -local  $E_{\infty}$ -ring spectra.

Prop. If  $X$  is  $K(2)$ -local, then the sequence

$$X \rightarrow KO \wedge X \xrightarrow{\psi^3 - \text{Id}} KO \wedge X \text{ is a fibration}$$

(beware: the smash products are in the  $K(2)$ -local category, so they are 2-adically completed)



$$\begin{array}{ccc}
 KO \wedge \text{Sym}(S^{-2}) & \xrightarrow{0} & KO \\
 \downarrow & & \downarrow \\
 0 = KO \wedge \mathbb{Z} & & KO \wedge T_{\mathbb{S}} = \text{Sym}_{KO}(S^0) \cong KO \wedge \text{Sym}(S^0)
 \end{array}$$

The line:  $\pi_0(KO \wedge T_{\mathbb{S}}) = \mathbb{Z}_2 [x, \partial x, \partial^2 x, \dots]$ ,  $t_3(x) = x+1$ .

We use the fibrations from earlier to get at the homotopy of  $T_{\mathbb{S}}$ .

Set  $b = \psi(x) - x \in KO_0 T_{\mathbb{S}}$ . Since  $\psi$  and  $t_3$  commute, we get  $\psi_3(b) = b$  and (with some work)

$$\pi_0 T_{\mathbb{S}} = KO_* [b, \partial b, \partial^2 b, \dots]$$

Warmup calculation: Construct  $KO$  (= presentation of  $KO$ , using  $KO$ )

$$\pi_0(KO \wedge KO) = \text{Cont}(\mathbb{Z}_2^{\times} / \langle 1 \pm 1 \rangle, \mathbb{Z}_2)$$

The number "3" above is really a generator of  $\mathbb{Z}_2^{\times} / \langle 1 \pm 1 \rangle$ , so

$$\cong \text{Cont}(\mathbb{Z}_2, \mathbb{Z}_2)$$

$$\begin{array}{c}
 3 \in \mathbb{Z}_2^{\times} \\
 \updownarrow \\
 1 \in \mathbb{Z}_2
 \end{array}$$

$\mathbb{Z}_2 \ni a = \sum \alpha_i \cdot 2^i$  with  $\alpha_i \in \{0, 1\}$ , then we can view  $\alpha_i = i$ th Witt component as a function

$$\alpha_i: \mathbb{Z}_2 \rightarrow \{0, 1\}$$

Then

$$C(\mathbb{Z}_2, \mathbb{Z}_2) = \mathbb{Z}_2 [\alpha_0, \alpha_1, \dots] / \alpha_i^2 = \alpha_i$$

$$\lim_{\leftarrow} \lim_{\rightarrow} C(\mathbb{Z}_{2^k}, \mathbb{Z}_{2^k})$$

This is a  $\mathcal{O}$ -algebra with

$$t(f) = f \quad \text{and} \quad \mathcal{O}(f) = \frac{f^2 - f}{2}$$

$$(t_3(f))(t) = f(t+1)$$

Get map of  $\mathcal{O}$ -algebras

$$\begin{array}{ccc}
 KO_0 T_S = \mathbb{Z}_2[x, \partial x, \partial^2 x, \dots] & \xrightarrow{\partial} & x \\
 \downarrow & & \downarrow \\
 KO_0 KO = \mathbb{Z}_2[\alpha_i] / \alpha_i^2 = d_i & & \mathcal{O} = S \rightarrow S
 \end{array}$$

$\mathcal{O}$ -algebra morphism compatible with  $\psi_3$ .

One checks that  $\partial^i x \mapsto \alpha_i \pmod{2}, g(\alpha_1, \dots, \alpha_n)$

The element  $b = \psi x - x$  is in the kernel of  $KO_0 T_S \rightarrow KO_0 KO$ .  
 Since  $b$  comes from  $\pi_0 T_S$ , we can form the Ewo-pushout

$$\begin{array}{ccc}
 \text{Sym}(S^0) & \xrightarrow{0} & S^0 \\
 b \downarrow & & \downarrow \\
 \mathcal{O} T_S & \longrightarrow & R
 \end{array}$$

Apply  $KO_0$ :

$$\begin{array}{ccc}
 \mathbb{Z}[\gamma, \partial \gamma, \partial^2 \gamma, \dots] & \longrightarrow & \mathbb{Z} \\
 \downarrow & & \downarrow \\
 \mathbb{Z}[x, \partial x, \partial^2 x, \dots] & & 
 \end{array}$$

$b = \psi x - x$

Check  $\partial^h \gamma \mapsto (\partial^h x)^2 - \partial^h(x) + \text{lower terms used (check formulas etc)}$

$$\Rightarrow KO_0 R = C(\mathbb{Z}_2, \mathbb{Z}_2), \text{ and thus } R \cong KO.$$

Conclusion: in  $K(\mathbb{Z})$ -local Ewo spectra, has all decomposition

$$KO \cong * \cup_{\mathcal{O}} e^0 \cup_{\mathcal{O}} e^1, \text{ thus}$$

$$Ewo(KO, R) \Leftarrow H_{\text{cell}}^*(KO, \pi_* R)$$

a kind of AQ-cohomology from Paul Goerss' lectures.

back to making  $\text{tmf}$  as  $KO(\mathbb{Z})$  with "funny multiplications".

Suppose  $R$  is a  $k(\mathbb{Z})$ -local elliptic spectrum ( $\text{tmf}$  is supposed to be the "universal one") For

$$\mathbb{T}_3 \longrightarrow R \quad \text{we need a "universal class" } x \in KO_0 R \text{ with } \psi_3(x) = x+1$$

Consider  $c_4/v_2^4 \in \pi_0(KO, R)$  where  $c_4 \in \pi_4 R$  and  $v_2^4 \in \pi_8 KO$

$$\begin{aligned} \psi_3(c_4) &= c_4 \\ \psi_3(v_2^4) &= 3^4 v_2^4 \end{aligned}$$

Set  $x_{\text{mod}} = \frac{\log(c_4/v_2^4)}{\log(3^4)}$ , then  $\psi_3(x_{\text{mod}}) = x_{\text{mod}} + 1$ .

Recall  $b_* = \psi(x) - x \in \pi_0 \mathbb{T}_3$

Set  $b_{\text{mod}} = \psi(x_{\text{mod}}) - x_{\text{mod}}$ , a modular function:

$$c_4 = 1 + 240 \cdot \sum_n \sigma_3(n) q^n$$

$$b_{\text{mod}} = \sum \sigma_3^*(n) \cdot q^n \pmod{8}, \text{ where } \sigma_3^*(n) = \sum_{\substack{d|n \\ (d,p)=1}} d^3$$

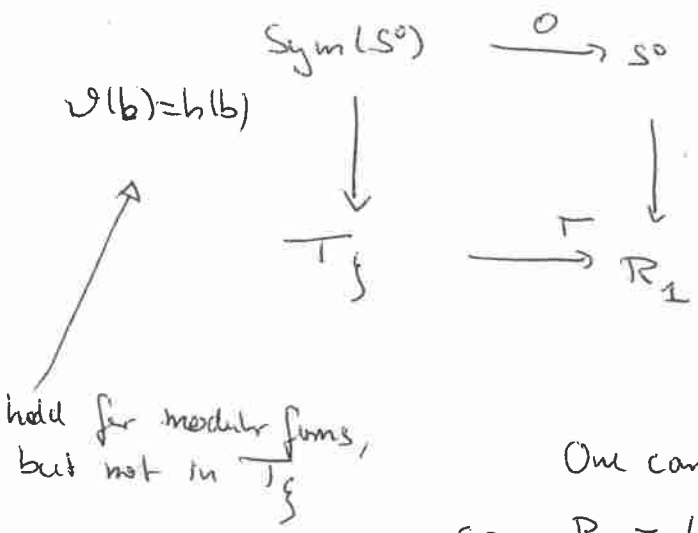
Famous congruence of Ramanujan:

$$\tau(n) \equiv \sigma_3^*(n) \pmod{8}$$

Recall  $\frac{1}{j} = \frac{\Delta}{c_4^3} \equiv \sum \tau(n) q^n \pmod{8}$   
 $(\alpha =) \quad \quad \quad = b_{\text{mod}} \pmod{8}$

This implies  $g(b_{\text{mod}}) = h(b_{\text{mod}})$  for some power series  $h$   
 Since  $1/j$ , hence  $b_{\text{mod}}$  is a unit for the ring of modular functions.

Attach another cell:



hold for modular forms, but not in  $T$

One can check now that  $R_1 = KO[\alpha]$ , so  $R_1 = L_{\text{Krus}} \text{tmf}$ .

This  $R_1$  has "correct" homotopy groups and  $\mathcal{V}$ -algebra structure, but needs more justification to call it  $L_{\text{Krus}} \text{tmf}$ .

Summary:

$$L_{\text{Krus}} \text{tmf} = \mathbb{Z} \cup \underbrace{e^0}_{\mathcal{V}} \cup \underbrace{e^1}_{\mathcal{V}(b)-h(b)}$$

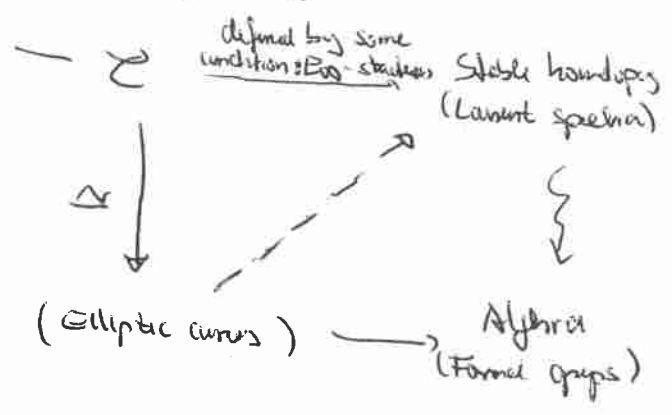
17.10.03

Spectra + stable homotopy

"too many maps"

Idea: use global objects in algebraic geometry, like elliptic curves, to regulate the homotopy theory.

enough objects by obstruction theory



Stacks

Not all elliptic curves come from topology, only "good" families.  
 We need to understand not just properties of the curve  $C$ , but of the family  
 $C \rightarrow \text{Spec } S$ . If there was a classifying "space", the property  
 can be expressed in terms of the classifying map



classifies the curve  $C$   
 "good" = (formally) étale maps

$\text{Erf}$  = cohomology theory corresponding to  $M_{\text{Weier}}$

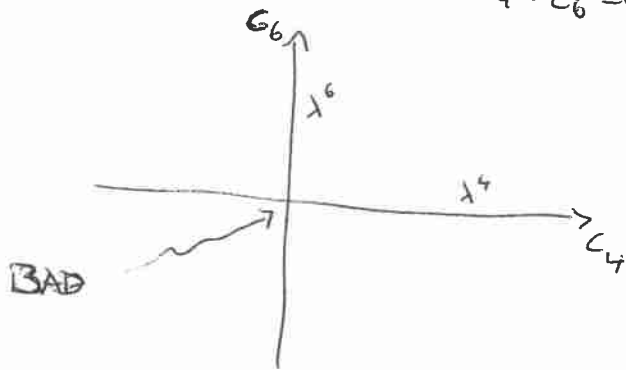
$$H^s(M_{\text{Weier}}, \omega^n) \Rightarrow \pi_{2n-s} \text{Erf}$$

$$H^0(M_{\text{Weier}}, \omega^n) = \text{modular forms of wt } n.$$

Inert 6 :

$$\text{Elem } \bigoplus_n H^s(M_{\text{Weier}}, \omega^n) = \begin{cases} \mathbb{Z}[c_4, c_6] & \text{for } s=0 \\ 0 & \text{for } s>0 \end{cases}$$

$M_{\text{Weier}}$  has the bad point  $c_4 = c_6 = 0$



$M_{\text{ell}} = M_{\text{Weier}} \setminus \{c_4 = c_6 = 0\}$   
 still has one singular  
 (generalized) elliptic curve.

We removed the bad point to make the height of formal groups  $\leq 2$  and  
 get Level Weier exactness.

3 A

$$\text{Set } U_0 = C_4^{-1} \mathcal{M}_{\text{ell}}, \quad U_1 = C_6^{-1} \mathcal{M}_{\text{ell}}$$

Maier-Victorin

$$H^*(U_0) \oplus H^*(U_1) \longrightarrow H^*(U_0 \cap U_1) \longrightarrow H^*(\mathcal{M}_{\text{ell}})$$

$$\begin{array}{ccc} H^0(\mathcal{M}_{\text{ell}}) & \longrightarrow & C_4^{-1} \mathbb{Z}[\frac{1}{6}, C_4, C_6] \\ \uparrow & & \oplus \\ \mathbb{Z}[\frac{1}{6}, C_4, C_6] & & C_6^{-1} \mathbb{Z}[\frac{1}{6}, C_4, C_6] \end{array} \longrightarrow (C_4 C_6)^{-1} \mathbb{Z}[\frac{1}{6}, C_4, C_6]$$

$$\frac{1}{C_4 C_6} \in H^1(\omega^{-10}) = \mathbb{Z}[\frac{1}{6}]$$

$$H^1(\omega^h) = \text{dual to } H^0(\omega^{-10-h})$$

$\pi_* \mathcal{J}^{\text{top}}$ :

|                       |                     |   |       |       |           |
|-----------------------|---------------------|---|-------|-------|-----------|
| -29                   | -22                 | 0 | 8     | 12    | 20        |
| $\frac{1}{C_4^2 C_6}$ | $\frac{1}{C_4 C_6}$ | 1 | $C_4$ | $C_6$ | $C_4 C_6$ |

"long gap"

$$(\pi_* \mathcal{J}^{\text{top}})(\mathcal{M}_{\text{ell}}) \subset (0, \infty) =: \text{tmf}$$

(removing bad point only introduces negative homotopy groups!)

$H^*(\mathcal{J}^{\text{top}}(\mathcal{M}_{\text{ell}}); \mathbb{Z}/p) = 0$ , whereas  $H^*(\text{tmf}, \mathbb{Z}/p)$  is interesting.

e.g.  $H^*(\text{tmf}, \mathbb{Z}/2) = \mathcal{O}_{\mathbb{Z}_2} \otimes_{A_2} \mathbb{Z}/2$

$A_2 =$  subalgebra of  $\mathcal{O}_{\mathbb{Z}_2}$  generated by  $S^2, Sq^2, Sq^4$ .

Can compute  $\pi_* \text{tmf}$  via modular forms, but also differently via Adams spectral sequence (Flash: this has "forced upon you" differentials!)



We will construct sheaf of spectra  $\mathcal{J}^{top}$  on  $\mathcal{M}_{Ell}$ .

At a prime  $P$ : Home square

$$\begin{array}{ccc} (\mathcal{J}^{top})_P^{-1} & \longrightarrow & L_{K(2)} \mathcal{J}^{top} \\ \downarrow & & \downarrow \\ L_{K(2)} \mathcal{J}^{top} & \longrightarrow & L_{K(2)} L_{K(2)} \mathcal{J}^{top} \end{array}$$

Do it on enough affine families, to get on a basis in the étale topology. Then sheafify.

We need the values of  $\mathcal{J}^{top}$  on

$$\text{Spec } R \xrightarrow{\text{étale}} \mathcal{M}_{Ell}$$

(RH: there is a slightly different kind of obstruction theory for getting  $L_{K(2)} \mathcal{J}^{top}$  (=  $\Gamma$ -theory,  $AQ^*$ , no Dyer-Lashof operations)  $L_{K(2)} \mathcal{J}^{top}$  need free  $E_{00}$ -type spectra. ("embrace Dyer-Lashof") That's why we don't know how to build  $\mathcal{J}_P^{top}$  straight away.)

$L_{K(2)} \mathcal{J}^{top}$  — super singular elliptic curves  
Serre-Tate deformation theory: sub-story of height 2 formal groups



$K(2)$ -local  $E_{00}$  type spectra

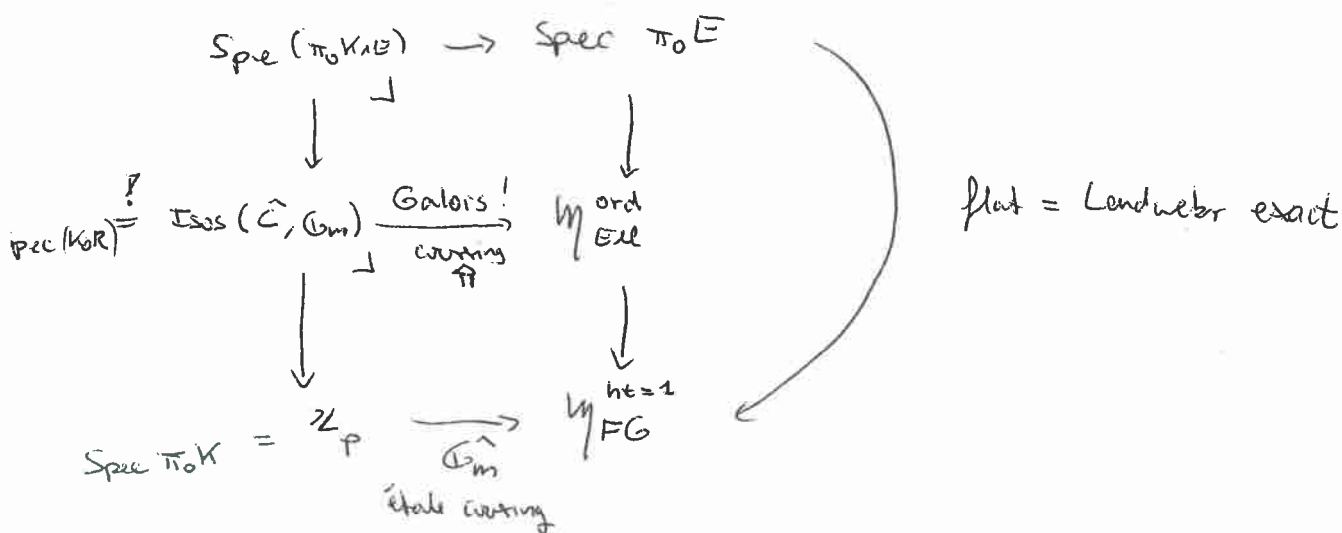
- $L_{K(2)} \mathcal{J}^{top}$  :
- ① produce a candidate for  $L_{K(2)}$  tmf
  - ②

$$\left( \begin{array}{c} \text{étale } E_{00} \text{ elliptic spectra} \\ \text{over } R \end{array} \right) \xrightarrow{\quad} \left( \begin{array}{c} \text{Spec } T_0 E \\ \downarrow \text{étale} \\ \mathcal{M}_{Ell} \end{array} \right)$$

shows is an equivalence

Here is a definition that works:  $R \rightarrow E$  map of  $G_m$ -spc is étale if  $K_0 R \rightarrow K_0 E$  is étale.

Here  $K_0 R := \pi_0 \left( \text{holim} (K_1 R, \pi_1 P^1) \right)$  completed!



Need to show that  $\text{Spec}(K_0 R) = \text{Iso}(\hat{C}, G_m)$

Since "étale" is local, suffices to check étaleness  $\text{Spec}(K_0 R)$

Assume this point for now,  $\text{Spec } K_0 R = \text{Iso}(\hat{C}, G_m)$

Homotopy type of moduli space of  $E$  is determined by a structured obstruction theory

$$H_{\mathbb{A}^1}^{\wedge}, K_0 K\text{-mod}, \text{Galois group} (K_0 E / K_0 R) = 0$$

Since the map  $K_0 R \rightarrow K_0 E$  is étale.

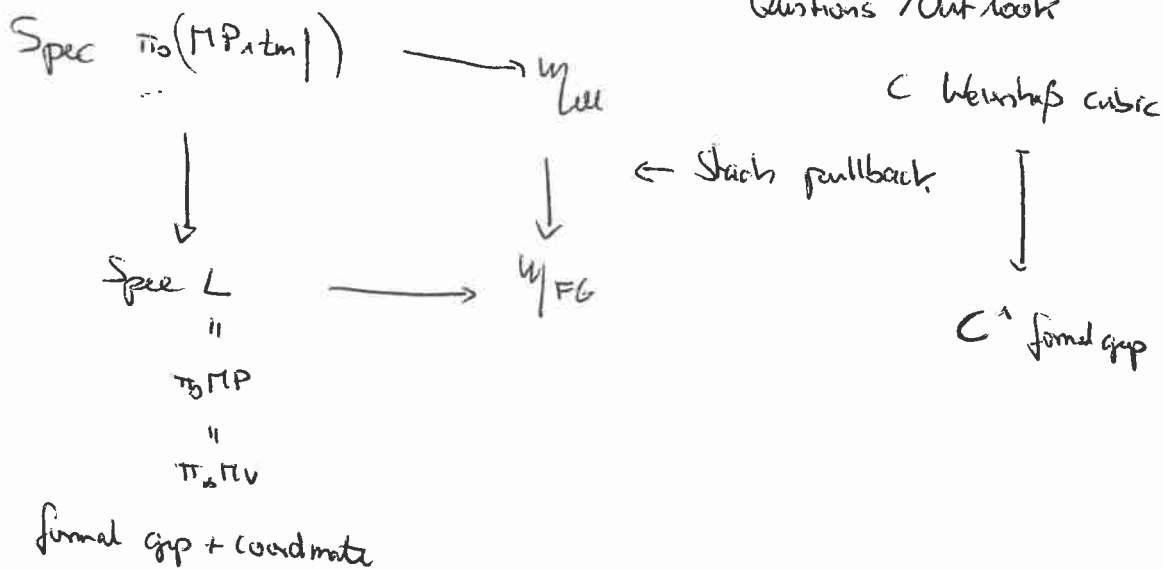
$\Rightarrow$  there is a unique such  $E$  and all mapping spaces are purely algebraic.

Other point: provide enough étale maps to cover  $M_{\text{ell}}$

$\Rightarrow M_{\text{ell}}$  has étale cover by affines.

pts: Weierstrass cubic + coordinate on its formal group.

Mike Hopkins  
17.10.03  
Questions / Outlook



Coordinate: local parameter near  $e$

Lemma: Suppose  $C =$  Weierstrass cubic,  $\mathfrak{t}$ : local parameter near  $\infty$   
 $\Rightarrow$  There is a unique equation for  $C$

$$y^2 + a_2xy + a_3y = x^3 + a_2x^2 + a_4x + a_6$$

$$\text{such that } \frac{x}{y} = \mathfrak{t} + O(\mathfrak{t}^5)$$

Pf: Since unique, the equation is local, so can assume some Weierstrass equation.

$$\text{Scaling: } \begin{cases} x \mapsto \lambda^2 x \\ y \mapsto \lambda^3 y \end{cases} \quad \left\} \quad \frac{x}{y} \mapsto \lambda \cdot \frac{x}{y} \quad \text{use up choice of } \lambda.$$

$$\text{Still have: } \begin{cases} x \mapsto x+r \\ y \mapsto y+sx+6 \end{cases} \quad \left\} \quad \text{3 degrees of freedom to fix up } \mathfrak{t}^2, \mathfrak{t}^3, \mathfrak{t}^4.$$

Given  $C^1$  +  $z$  local parameter, get unique Weierstrass equation.

$$\text{Then } w = \frac{x}{y} = z + \sum_{i \geq 5} a_i z^i$$

$$\Rightarrow \text{Mumford } \text{tmf} = \mathbb{Z}[a_1, \dots, a_6] \langle \alpha_5, \alpha_6, \alpha_7, \dots \rangle$$

### Outlook

(framed manifolds)

Pontryagin

(stable homotopy  
spcs)

(BocS)/String structures

tmf

modular forms

$K(2)$  &  $K(12)$  (local homotopy)

(Spin structures)

(K-local homotopy)

Questions: What is the geometric interpretation of tmf?

Question (Stolz): What is the geometric interpretation of string structures?

Stolz-Teichner gave an answer to this question.

They also produce a cobordism theory which is geometrically defined and a candidate for tmf.

Kriz-Hu have produced another candidate.

Kervaire-Milnor exotic spheres

$\Theta_k =$  Group of h-cobordism classes of smooth structures on  $S^{4k-1} \cong \mathbb{Z}/d_k \times \text{subquotient of } \pi_0 S^0$

where  $d_k = 2^2 \times (2^2 - 1) \cdot \text{numerator} \left( \frac{B_{2k}}{4k} \right)$

Hirsch-Mazur :  $\Theta_k \cong \pi_{4k-1} PL/O$

Is there a map

$PL/O \longrightarrow R$  with  $\pi_{4k-1} R = \mathbb{Z}/d_k$  ?

Fibration

of  $2^{2k}$ -loop spaces

PL/String



G/String



G/PL

$G = O_{2k} S^0$

→ spectra

pl/string

→  $tmf(2)_{\text{cusp}}^*$



$gl_{2k} S^0 / \text{string}$

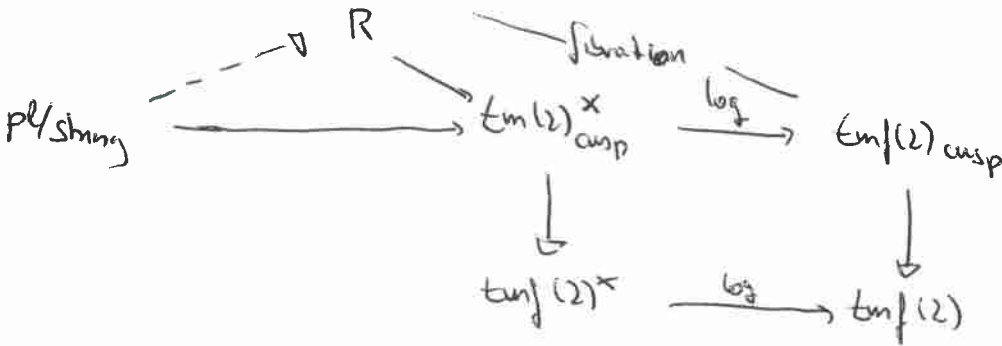
→  $tmf(2)_{\text{cusp}}^*$



$gl_{2k} S^0 / PL$

→  $KO^*$

→ Sullivan



$\pi_* R$  are described by the zeroes of a p-adic L-function of Mazur-Wiles.

Parallel: Locus in  $\pi_3 \text{tmf}$ ?

$\pi_3 \text{tmf} \cong \mathbb{Z}/24$  built in the SS from an extension

$$H^1(\omega^2) = \mathbb{Z}/12$$

$$H^3(\omega^3) = \mathbb{Z}/2$$

In Weierstrass equation  $y^2 + \dots = x^3 + \dots$ ,  
 $x$  has a triple pole at  $\infty$ . Is there an invariant function with  
at most double pole at  $\infty$ ? Riemann-Roch: the space of such is 2-dimensional,  
containing the constant functions

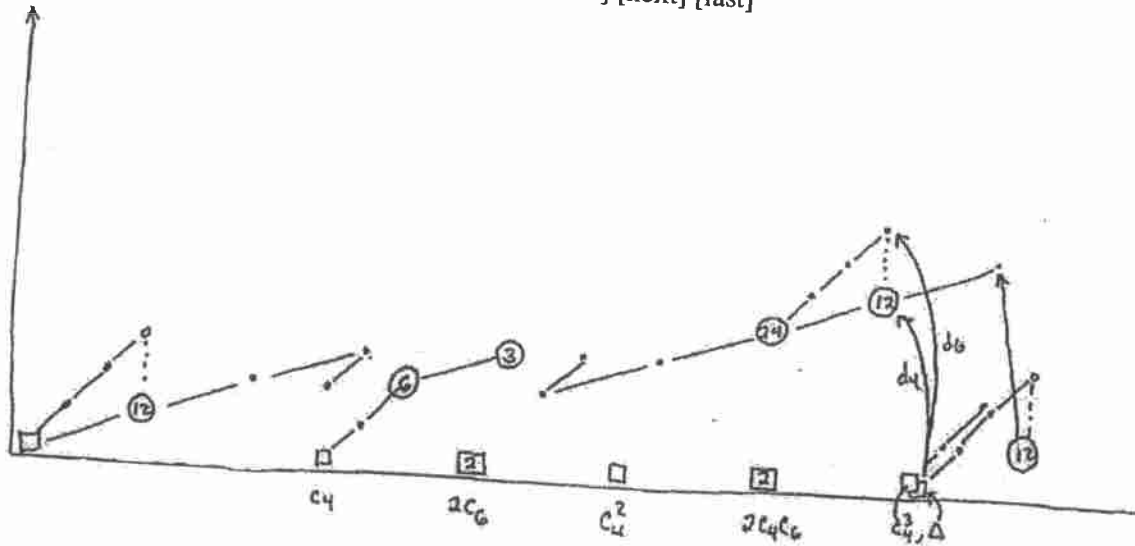
$$\underline{11} \subseteq \text{functions with at most double pole} \longrightarrow \omega^2$$

A choice of  $x$  is a splitting. But the sequence defines

$$\text{a class in } \text{Ext}^2(\omega^2, \mathbb{1}) = H^1(\omega^2 / \omega^4, \omega^2).$$

There is a good choice for " $12 \cdot x$ ", so the class in  $\text{Ext}^2$  has order 12

$SU(2)$  as formal manifold is detected in  $\pi_3 \text{tmf}$   
coming from  $H^2(\omega^5)$ .



$E_4$ -term on  $s$  of the spectral sequence

$$H^s(M_{\text{rel}}; \mathbb{Z}^{\oplus 2}) \Rightarrow \text{Ext}_{\mathbb{Z}}^s$$

- $\bullet = \mathbb{Z}/2$
- $\textcircled{\bullet} = \mathbb{Z}/4$
- $\square = \mathbb{Z}$